# Faber polynomial coefficient bounds for a subclass of bi-univalent functions 

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#### Abstract

In this work, considering a general subclass of bi-univalent functions and using the Faber polynomials, we obtain coefficient expansions for functions in this class. In certain cases, our estimates improve some of those existing coefficient bounds.


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## 1. Introduction

Let $A$ denote the class of functions $f$ which are analytic in the open unit disk $U=\{z:|z|<1\}$ with in the form

$$
\begin{equation*}
f(z)=z+\sum_{n=2}^{\infty} a_{n} z^{n} \tag{1.1}
\end{equation*}
$$

Let $S$ be the subclass of $A$ consisting of the form (1.1) which are also univalent in $U$ and let $P$ be the class of functions $\varphi(z)=1+\sum_{n=1}^{\infty} \varphi_{n} z^{n}$ that are analytic in $U$ and satisfy the condition $\operatorname{Re}(\varphi(z))>0$ in $U$. By the Caratheodory's lemma (e.g., see [11]) we have $\left|\varphi_{n}\right| \leq 2$.

The Koebe one-quarter theorem [11] states that the image of $U$ under every function $f$ from $S$ contains a disk of radius $\frac{1}{4}$. Thus every such univalent function has an inverse $f^{-1}$ which satisfies

$$
f^{-1}(f(z))=z, \quad(z \in U)
$$

and

$$
f\left(f^{-1}(w)\right)=w,\left(|w|<r_{0}(f), r_{0}(f) \geq \frac{1}{4}\right)
$$

where

$$
f^{-1}(w)=w-a_{2} w^{2}+\left(2 a_{2}^{2}-a_{3}\right) w^{3}-\left(5 a_{2}^{3}-5 a_{2} a_{3}+a_{4}\right) w^{4}+\cdots .
$$

A function $f \in A$ is said to be bi-univalent in $U$ if both $f$ and $f^{-1}$ are univalent in $U$. For a brief history and interesting examples in the class $\Sigma$, see [27].

Lewin [20] studied the class of bi-univalent functions, obtaining the bound 1.51 for modulus of the second coefficient $\left|a_{2}\right|$. Netanyahu [22] showed that $\max \left|a_{2}\right|=\frac{4}{3}$ if $f \in \Sigma$. Subsequently, Brannan and Clunie [7] conjectured that $\left|a_{2}\right| \leq \sqrt{2}$ for $f \in \Sigma$. Brannan and Taha [8] introduced certain subclasses of the bi-univalent function class $\Sigma$ similar to the familiar subclasses. Recently, many authors investigated bounds for various subclasses of bi-univalent functions ([5], [10], [13], [18], [19], [21], [24], [27], [28], [29]).

The Faber polynomials introduced by Faber [12] play an important role in various areas of mathematical sciences, especially in geometric function theory. Grunsky [14] succeeded in establishing a set of conditions for a given function which are necessary and in their totality sufficient for the univalency of this function, and in these conditions the coefficients of the Faber polynomials play an important role. Schiffer [25] gave a differential equations for univalent functions solving certain extremum problems with respect to coefficients of such functions; in this differential equation appears again a polynomial which is just the derivative of a Faber polynomial (Schaeffer-Spencer [26]).

Not much is known about the bounds on the general coefficient $\left|a_{n}\right|$ for $n \geq 4$. In the literature, there are only a few works determining the general coefficient bounds $\left|a_{n}\right|$ for the analytic bi-univalent functions ([6], [9], [15], [16], [17]). The coefficient estimate problem for each of $\left|a_{n}\right|(n \in \mathbb{N} \backslash\{1,2\} ; \mathbb{N}=\{1,2,3, \ldots\})$ is still an open problem.

For $f(z)$ and $F(z)$ analytic in $U$, we say that $f$ subordinate to $F$, written $f \prec F$, if there exists a Schwarz function $u(z)=\sum_{n=1}^{\infty} c_{n} z^{n}$ with $|u(z)|<1$ in $U$, such that $f(z)=F(u(z))$. For the Schwarz function $u(z)$ we note that $\left|c_{n}\right|<1$. (e.g. see Duren [11]).

A function $f \in \Sigma$ is said to be $B_{\Sigma}(\mu, \lambda, \varphi), \lambda \geq 1$ and $\mu \geq 0$, if the following subordination hold

$$
\begin{equation*}
(1-\lambda)\left(\frac{f(z)}{z}\right)^{\mu}+\lambda f^{\prime}(z)\left(\frac{f(z)}{z}\right)^{\mu-1} \prec \varphi(z) \tag{1.2}
\end{equation*}
$$

and

$$
\begin{equation*}
(1-\lambda)\left(\frac{g(w)}{w}\right)^{\mu}+\lambda g^{\prime}(w)\left(\frac{g(w)}{w}\right)^{\mu-1} \prec \varphi(w) \tag{1.3}
\end{equation*}
$$

where $g(w)=f^{-1}(w)$.
In this paper, we use the Faber polynomial expansions to obtain bounds for the general coefficients $\left|a_{n}\right|$ of bi-univalent functions in $B_{\Sigma}(\mu, \lambda, \varphi)$ as well as providing estimates for the initial coefficients of these functions.

## 2. Main results

Using the Faber polynomial expansion of functions $f \in A$ of the form (1.1), the coefficients of its inverse map $g=f^{-1}$ may be expressed as, [3],

$$
g(w)=f^{-1}(w)=w+\sum_{n=2}^{\infty} \frac{1}{n} K_{n-1}^{-n}\left(a_{2}, a_{3}, \ldots\right) w^{n}
$$

where

$$
\begin{align*}
K_{n-1}^{-n}= & \frac{(-n)!}{(-2 n+1)!(n-1)!} a_{2}^{n-1}+\frac{(-n)!}{[2(-n+1)]!(n-3)!} a_{2}^{n-3} a_{3} \\
& +\frac{(-n)!}{(-2 n+3)!(n-4)!} a_{2}^{n-4} a_{4} \\
& +\frac{(-n)!}{[2(-n+2)]!(n-5)!} a_{2}^{n-5}\left[a_{5}+(-n+2) a_{3}^{2}\right]  \tag{2.1}\\
& +\frac{(-n)!}{(-2 n+5)!(n-6)!} a_{2}^{n-6}\left[a_{6}+(-2 n+5) a_{3} a_{4}\right] \\
& +\sum_{j \geq 7} a_{2}^{n-j} V_{j},
\end{align*}
$$

such that $V_{j}$ with $7 \leq j \leq n$ is a homogeneous polynomial in the variables $a_{2}, a_{3}, \ldots, a_{n}$ [4]. In particular, the first three terms of $K_{n-1}^{-n}$ are

$$
\begin{align*}
& \frac{1}{2} K_{1}^{-2}=-a_{2} \\
& \frac{1}{3} K_{2}^{-3}=2 a_{2}^{2}-a_{3}  \tag{2.2}\\
& \frac{1}{4} K_{3}^{-4}=-\left(5 a_{2}^{3}-5 a_{2} a_{3}+a_{4}\right)
\end{align*}
$$

In general, for any $p \in \mathbb{N}$, an expansion of $K_{n}^{p}$ is as, [3],

$$
\begin{equation*}
K_{n}^{p}=p a_{n}+\frac{p(p-1)}{2} E_{n}^{2}+\frac{p!}{(p-3)!3!} E_{n}^{3}+\ldots+\frac{p!}{(p-n)!n!} E_{n}^{n} \tag{2.3}
\end{equation*}
$$

where $E_{n}^{p}=E_{n}^{p}\left(a_{2}, a_{3}, \ldots\right)$ and by [1],

$$
\begin{equation*}
E_{n}^{m}\left(a_{1}, a_{2}, \ldots, a_{n}\right)=\sum_{m=1}^{\infty} \frac{m!\left(a_{1}\right)^{\mu_{1}} \ldots\left(a_{n}\right)^{\mu_{n}}}{\mu_{1}!\ldots \mu_{n}!} \tag{2.4}
\end{equation*}
$$

while $a_{1}=1$, and the sum is taken over all nonnegative integers $\mu_{1}, \ldots, \mu_{n}$ satisfying

$$
\begin{align*}
\mu_{1}+\mu_{2}+\ldots+\mu_{n} & =m  \tag{2.5}\\
\mu_{1}+2 \mu_{2}+\ldots+n \mu_{n} & =n .
\end{align*}
$$

Evidently, $E_{n}^{n}\left(a_{1}, a_{2}, \ldots, a_{n}\right)=a_{1}^{n},[2]$.

Theorem 2.1. For $\lambda \geq 1$ and $\mu \geq 0$, let $f \in \mathrm{~B}_{\Sigma}(\mu, \lambda, \varphi)$. If $a_{m}=0 ; 2 \leq m \leq n-1$, then

$$
\begin{equation*}
\left|a_{n}\right| \leq \frac{2}{\mu+(n-1) \lambda} ; \quad n \geq 4 \tag{2.6}
\end{equation*}
$$

Proof. Let functions $f$ given by (1.1). We have

$$
\begin{equation*}
(1-\lambda)\left(\frac{f(z)}{z}\right)^{\mu}+\lambda f^{\prime}(z)\left(\frac{f(z)}{z}\right)^{\mu-1}=1+\sum_{n=2}^{\infty} F_{n-1}\left(a_{2}, a_{3}, \ldots, a_{n}\right) a_{n} z^{n-1} \tag{2.7}
\end{equation*}
$$

and

$$
(1-\lambda)\left(\frac{g(w)}{w}\right)^{\mu}+\lambda g^{\prime}(w)\left(\frac{g(w)}{w}\right)^{\mu-1}=1+\sum_{n=1}^{\infty} F_{n-1}\left(A_{2}, A_{3}, \ldots, A_{n}\right) a_{n} w^{n-1}
$$

where

$$
\begin{align*}
& F_{1}=(\mu+\lambda) a_{2}  \tag{2.8}\\
& F_{2}=(\mu+2 \lambda)\left[\frac{\mu-1}{2} a_{2}^{2}+a_{3}\right] \\
& F_{3}=(\mu+3 \lambda)\left[\frac{(\mu-1)(\mu-2)}{3!} a_{2}^{3}+(\mu-1) a_{2} a_{3}+a_{4}\right],
\end{align*}
$$

In general, (see [9]).
On the other hand, the inequalities (1.2) and (1.3) imply the existence of two positive real part functions $u(z)=1+\sum_{n=1}^{\infty} c_{n} z^{n}$ and $v(w)=1+\sum_{n=1}^{\infty} d_{n} w^{n}$ where $\operatorname{Re} u(z)>0$ and $\operatorname{Re} v(w)>0$ in $P$ so that

$$
\begin{equation*}
(1-\lambda)\left(\frac{f(z)}{z}\right)^{\mu}+\lambda f^{\prime}(z)\left(\frac{f(z)}{z}\right)^{\mu-1}=\varphi(u(z)) \tag{2.9}
\end{equation*}
$$

and

$$
\begin{equation*}
(1-\lambda)\left(\frac{g(w)}{w}\right)^{\mu}+\lambda g^{\prime}(w)\left(\frac{g(w)}{w}\right)^{\mu-1}=\varphi(v(w)) \tag{2.10}
\end{equation*}
$$

where

$$
\begin{equation*}
\varphi(u(z))=1+\sum_{n=1}^{\infty} \sum_{k=1}^{n} \varphi_{k} E_{n}^{k}\left(c_{1}, c_{2}, \ldots, c_{n}\right) z^{n} \tag{2.11}
\end{equation*}
$$

and

$$
\begin{equation*}
\varphi(v(w))=1+\sum_{n=1}^{\infty} \sum_{k=1}^{n} \varphi_{k} E_{n}^{k}\left(d_{1}, d_{2}, \ldots, d_{n}\right) w^{n} \tag{2.12}
\end{equation*}
$$

Comparing the corresponding coefficients of (2.9) and (2.11) yields

$$
\begin{equation*}
[\mu+(n-1) \lambda] a_{n}=\sum_{k=1}^{n-1} \varphi_{k} E_{n-1}^{k}\left(c_{1}, c_{2}, \ldots, c_{n-1}\right), n \geq 2 \tag{2.13}
\end{equation*}
$$

and similarly, from (2.10) and (2.12) we obtain

$$
\begin{equation*}
[\mu+(n-1) \lambda] b_{n}=\sum_{k=1}^{n-1} \varphi_{k} E_{n-1}^{k}\left(d_{1}, d_{2}, \ldots, d_{n-1}\right), \quad n \geq 2 \tag{2.14}
\end{equation*}
$$

Note that for $a_{m}=0 ; 2 \leq m \leq n-1$ we have $b_{n}=-a_{n}$ and so

$$
\begin{aligned}
{[\mu+(n-1) \lambda] a_{n} } & =\varphi_{1} c_{n-1} \\
-[\mu+(n-1) \lambda] a_{n} & =\varphi_{1} d_{n-1}
\end{aligned}
$$

Now taking the absolute values of either of the above two equations and using the facts that $\left|\varphi_{1}\right| \leq 2,\left|c_{n-1}\right| \leq 1$ and $\left|d_{n-1}\right| \leq 1$, we obtain

$$
\begin{equation*}
\left|a_{n}\right| \leq \frac{\left|\varphi_{1} c_{n-1}\right|}{\mu+(n-1) \lambda}=\frac{\left|\varphi_{1} d_{n-1}\right|}{\mu+(n-1) \lambda} \leq \frac{2}{\mu+(n-1) \lambda} . \tag{2.15}
\end{equation*}
$$

Theorem 2.2. Let $f \in \mathrm{~B}_{\Sigma}(\mu, \lambda, \varphi), \lambda \geq 1$ and $\mu \geq 0$. Then
(i) $\left|a_{2}\right| \leq \min \left\{\frac{2}{\mu+\lambda}, \sqrt{\frac{8}{(\mu+2 \lambda)(\mu+1)}}\right\}$
(ii) $\left|a_{3}\right| \leq \min \left\{\frac{4}{(\mu+\lambda)^{2}}+\frac{2}{\mu+2 \lambda}, \frac{8}{(\mu+2 \lambda)(\mu+1)}+\frac{2}{\mu+2 \lambda}\right\}$

Proof. Replacing $n$ by 2 and 3 in (2.13) and (2.14), respectively, we find that

$$
\begin{gather*}
(\mu+\lambda) a_{2}=\varphi_{1} c_{1},  \tag{2.17}\\
(\mu+2 \lambda)\left[\frac{\mu-1}{2} a_{2}^{2}+a_{3}\right]=\varphi_{1} c_{2}+\varphi_{2} c_{1}^{2},  \tag{2.18}\\
-(\mu++\lambda) a_{2}=\varphi_{1} d_{1},  \tag{2.19}\\
(\mu+2 \lambda)\left[\frac{\mu+3}{2} a_{2}^{2}-a_{3}\right]=\varphi_{1} d_{2}+\varphi_{2} d_{1}^{2} \tag{2.20}
\end{gather*}
$$

From (2.17) or (2.19) we obtain

$$
\begin{equation*}
\left|a_{2}\right| \leq \frac{\left|\varphi_{1} c_{1}\right|}{\mu+\lambda}=\frac{\left|\varphi_{1} d_{1}\right|}{\mu+\lambda} \leq \frac{2}{\mu+\lambda} . \tag{2.21}
\end{equation*}
$$

Adding (2.18) to (2.20) implies

$$
(\mu+2 \lambda)(\mu+1) a_{2}^{2}=\varphi_{1}\left(c_{2}+d_{2}\right)+\varphi_{2}\left(c_{1}^{2}+d_{1}^{2}\right)
$$

or, equivalently,

$$
\begin{equation*}
\left|a_{2}\right| \leq \sqrt{\frac{8}{(\mu+2 \lambda)(\mu+1)}} \tag{2.22}
\end{equation*}
$$

Next, in order to find the bound on the coefficient $\left|a_{3}\right|$, we subtract (2.20) from (2.18). We thus get

$$
\begin{equation*}
2(\mu+2 \lambda)\left(a_{3}-a_{2}^{2}\right)=\varphi_{1}\left(c_{2}-d_{2}\right)+\varphi_{2}\left(c_{1}^{2}-d_{1}^{2}\right) \tag{2.23}
\end{equation*}
$$

or

$$
\begin{equation*}
\left|a_{3}\right|=\left|a_{2}\right|^{2}+\frac{\left|\varphi_{1}\left(c_{2}-d_{2}\right)\right|}{2(\mu+2 \lambda)} \leq\left|a_{2}\right|^{2}+\frac{2}{\mu+2 \lambda} \tag{2.24}
\end{equation*}
$$

Upon substituting the value of $a_{2}^{2}$ from (2.21) and (2.22) into (2.24), it follows that

$$
\left|a_{3}\right| \leq \frac{4}{(\mu+\lambda)^{2}}+\frac{2}{\mu+2 \lambda}
$$

and

$$
\left|a_{3}\right| \leq \frac{8}{(\mu+2 \lambda)(\mu+1)}+\frac{2}{\mu+2 \lambda}
$$

If we put $\lambda=1$ in Theorem 2.2, we obtain the following consequence.
Corollary 2.3. Let $f \in \mathrm{~B}_{\Sigma}(\mu, \varphi), \mu \geq 0$. Then

$$
\left|a_{2}\right| \leq \frac{2}{\mu+1}
$$

and

$$
\left|a_{3}\right| \leq \frac{4}{(\mu+1)^{2}}+\frac{2}{\mu+2}
$$

Remark 2.4. The above estimates for $\left|a_{2}\right|$ and $\left|a_{3}\right|$ show that Corollary 2.3 is an improvement of the estimates given in Prema and Keerthi ([23], Theorem 3.2) and Bulut ([9], Corollary 3).

If we put $\mu=1$ in Theorem 2.2, we obtain the following consequence.
Corollary 2.5. Let $f \in \mathrm{~B}_{\Sigma}(\lambda, \varphi), \lambda \geq 1$. Then

$$
\left|a_{2}\right| \leq \frac{2}{\lambda+1}
$$

and

$$
\left|a_{3}\right| \leq \frac{4}{(\lambda+1)^{2}}+\frac{2}{1+2 \lambda}
$$

Remark 2.6. The above estimates for $\left|a_{2}\right|$ and $\left|a_{3}\right|$ show that Corollary 2.5 is an improvement of the estimates given in Bulut ([9], Corollary 2).

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