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# The first Zolotarev case in the Erdös-Szegö solution to a Markov-type extremal problem of Schur

Heinz-Joachim Rack

Abstract. Schur's [14] Markov-type extremal problem asks to find the maximum (\*)  $\sup_{-1 \le \xi \le 1} \sup_{P_n \in \mathbf{B}_{n,\xi,2}} |P_n^{(1)}(\xi)|$ , where  $\mathbf{B}_{n,\xi,2} = \{P_n \in \mathbf{B}_n :$  $P_n^{(2)}(\xi) = 0\} \subset \mathbf{B}_n = \{P_n : |P_n(x)| \le 1 \text{ for } |x| \le 1\}$  and  $P_n$  is an algebraic polynomial of degree  $\leq n$ . Erdös and Szegö [3] found that for  $n \geq 4$  this maximum is attained if  $\xi = \pm 1$  and  $P_n \in \mathbf{B}_{n,\xi,2}$  is a member of the 1-parameter family of hard-core Zolotarev polynomials  $Z_{n,t}$ . Our first result centers around their proof for the initial case n = 4 and is three-fold: (i) the numerical value for (\*) in ([3], (7.9)) is not correct, but sufficiently precise; (ii) from preliminary work in [3] can in fact be deduced a closed analytic expression for (\*) if n = 4, allowing numerical evaluation to any precision; (iii) even the explicit power form representation of an extremal  $Z_{4,t} = Z_{4,t^*}$  can be deduced from [3], thus providing an exemplification of Schur's problem that seems to be novel. Additionally, we cross-check its validity by deriving  $Z_{4,t^*}$  conversely from a general formula for  $Z_{4,t}$  that we have given in [12]. We then turn to a generalized solution of Schur's problem, to k -th derivatives, by Shadrin [16]. Again we provide in explicit form the corresponding maximum as well as an extremizer polynomial for the first non-trivial degree n = 4.

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### 1. Introduction

The famous A. A. Markov inequality of 1889 [8] asserts an estimate on the size of the first derivative of an algebraic polynomial  $P_n$  of degree  $\leq n$  and

can be restated as follows:

$$\sup_{\xi \in \mathbf{I}} \sup_{P_n \in \mathbf{B}_n} |P_n^{(1)}(\xi)| = n^2 = T_n^{(1)}(1), \tag{1.1}$$

where  $\mathbf{I} = [-1, 1]$  and  $\mathbf{B}_n = \{P_n : |P_n(x)| \leq 1 \text{ for } x \in \mathbf{I}\}$ . As indicated, this maximum will be attained if, up to the sign,  $P_n = T_n \in \mathbf{B}_n$  is the *n*-th Chebyshev polynomial of the first kind on  $\mathbf{I}$  (defined by  $T_n(x) = 2xT_{n-1}(x) - T_{n-2}(x)$  with  $T_1(x) = x, T_0(x) = 1$ ) and if  $\xi = \pm 1$ , see e.g. ([10], p. 529), ([13], p. 123).

In 1919 I. Schur ([14], §2), inspired by (1.1), was led to the problem of finding the maximum of  $|P_n^{(1)}(\xi)|$  under the additional restriction  $P_n^{(2)}(\xi) = 0$ : Determine  $P_n = P_n^*$  which attains, for  $n \geq 3$ ,

$$\sup_{\xi \in \mathbf{I}} \sup_{P_n \in \mathbf{B}_{n,\xi,2}} |P_n^{(1)}(\xi)| = n^2 M_n, \tag{1.2}$$

where  $\mathbf{B}_{n,\xi,2} = \{P_n \in \mathbf{B}_n : P_n^{(2)}(\xi) = 0\}$  and  $M_n$  is a constant (depending on n). Schur ([14], (9)) proved that there holds

$$\sup_{\xi \in \mathbf{I}} \sup_{P_n \in \mathbf{B}_{n,\xi,2}} |P_n^{(1)}(\xi)| < \frac{1}{2}n^2, \text{ so that } M_n < \frac{1}{2}.$$
 (1.3)

In 1942 P. Erdös and G. Szegö addressed this problem of Schur and they provided the following solution ([3], Theorem 2):

The maximum (1.2) will be attained, for  $n \ge 4$ , only if  $\xi = 1$  and  $P_n = P_n^*$  is a member of the 1-parameter family (with parameter t) of hardcore Zolotarev polynomials  $\pm Z_{n,t}$ ; or if  $\xi = -1$  and  $P_n = P_n^*$  is a member of the family  $\pm Z_{n,t}^-$ , where  $Z_{n,t}^-(x) = Z_{n,t}(-x)$ .

We leave aside the simple case n = 3 (with solution  $\xi = 0$  and  $P_3 = P_3^* = \pm T_3$  ([3], p. 466)). Henceforth we will confine ourselves to specify only one extremal polynomial  $P_n^*$  for a given problem on **I**, but will keep in mind that  $-P_n^*$  as well as  $\pm Q_n^*$ , where  $\pm Q_n^*(x) = \pm P_n^*(-x)$ , may likewise be extremal. The solutions to (1.1) and (1.2) have in common that the maximum is attained at the endpoints  $\xi = \pm 1$  of the unit interval **I**. But, on the other hand, the solutions differ greatly when it comes to exhibit an explicit extremal polynomial from  $\mathbf{B}_n$  resp.  $\mathbf{B}_{n,\xi,2}$ : Whereas in (1.1) an extremizer is, for all  $n \geq 1$ , the well-known n -th Chebyshev polynomial  $T_n$  [13], the explicit power form of the intricate extremizers  $Z_{n,t}$  in (1.2) remained arcane for all  $n \geq 4$ . This is due to the fact that for a general degree n the explicit power form of a hard-core Zolotarev polynomial  $Z_{n,t}$  is not known ([16], p. 1185). Rather,  $Z_{n,t}$  can be expressed with the aid of elliptic functions (see ([1], pp. 280), ([10], p. 407), [18]) which amounts to an extremely complicated concoction of elliptic quantities ([17], p. 52).

It is a purpose of this note to provide, nearly one hundred years after the origin of Schur's problem, the explicit power form of a particular hard-core Zolotarev polynomial  $Z_{n,t} = Z_{n,t^*}$  which is extremal for (1.2), at least for the first nontrivial case n = 4. Such a solution was coined *Schur polynomial* 

in ([11], Section 5d), where a numerical method (solution of a system of nonlinear equations) is advised in order to determine it.

We will first tackle the explicit analytic expression for (1.2) if n = 4. Once it has been established, to calculate its numerical value to arbitrary precision becomes immediate. Incidentally, we notice that the numerical value for  $16M_4$  as given in ([3], (7.9)) is not correct from the third decimal place on. We then deduce, in two alternative fashions, an extremal hard-core Zolotarev polynomial  $P_4^* = Z_{4,t^*}$  with optimal value  $t^*$  of the parameter t. This Schur polynomial  $P_4^*$  may well serve as illustrative example of the result in ([3], Theorem 2). Finally, we will consider a recent generalization of Schur's problem (1.2), due to A. Shadrin [16], to higher derivatives of  $P_n$ , and again we will exemplify the quartic case n = 4.

# 2. Analytical and numerical value of the maximum in the quartic case

To determine the value in (1.2) for n = 4 we rely on preliminary work in ([3], Section 7) and will therefore retain, for the reader's convenience, the notation used there. A sought-for extremal hard-core Zolotarev polynomial  $P_4^*$  which solves (1.2) can be assumed to be from class  $\mathbf{B}_{4,1,2}$  and be represented as, see ([3], (7.3)),

$$P_4^*(x) = 1 - \lambda (1 - x)(B_4 - x)(y_1 - x)^2, \qquad (2.1)$$

where  $\lambda, B_4, y_1$  are parameters which reflect properties of  $P_4^*$ , that is:  $P_4^*(-1) = -1, P_4^*(y_1) = 1, P_4^{*(1)}(y_1) = 0, P_4^*(1) = P_4^*(B_4) = 1$ . The first and second derivative of  $P_4^*$  at x = 1 read:

$$P_4^{*(1)}(1) = \lambda (B_4 - 1)(1 - y_1)^2 \text{ and } P_4^{*(2)}(1) = 2\lambda (y_1 - 1)(2(1 - B_4) - (y_1 - 1)),$$
(2.2)

so that the condition  $P_4^{*(2)}(1) = 0$  yields  $y_1 = 3 - 2B_4$  which, when inserted into  $P_4^{*(1)}(1)$ , eliminates there the parameter  $y_1$ . From  $P_4^*(-1) = -1$ one deduces, upon inserting the said value of  $y_1$ , that  $\lambda = \frac{1}{(B_4+1)(4-2B_4)^2}$ , see (2.1). This implies  $P_4^{*(1)}(1) = \frac{(B_4-1)^3}{(B_4-2)^2(B_4+1)}$ . The identity  $\frac{2}{B_4-1} = \frac{11 - \sqrt{33} + 2\sqrt{5(5+\sqrt{33})}}{8}$ , which is given in an equivalent form in ([3], (7.8)), allows to evaluate  $B_4$  (see (3.2) below). Inserting this value of  $B_4$ into the preceding expression for  $P_4^{*(1)}(1)$  eventually yields the analytical expression for the maximum, which can be evaluated numerically to any desired precision:

$$P_{4}^{*(1)}(1) = \sup_{\xi \in \mathbf{I}} \sup_{P_{4} \in \mathbf{B}_{4,\xi,2}} \left| P_{4}^{(1)}(\xi) \right| = 16M_{4}$$

$$= \frac{-561 + 161\sqrt{33} + \sqrt{30(15215 + 3329\sqrt{33})}}{288}$$

$$= 4.7876468942..., \qquad (2.3)$$

being a root of  $P_4(x) = -65536 - 39424x - 1915x^2 + 1683x^3 + 216x^4$ .

By contrast, Formula (7.9) in [3] states that

$$P_4^{*(1)}(1) = 4.7881... \tag{2.4}$$

holds, a value which is now seen to be biased in the third and fourth decimal place.

But that bias does not harm the argument in [3] for n = 4 since the first two valid decimal places are sufficiently conclusive for  $P_4^*$  to be the extremal element (as a comparison is drawn with competitor polynomial  $T_4$  and value  $\left|T_4^{(1)}\left(\frac{1}{\sqrt{6}}\right)\right| = 4.3546...$ , see ([3], (7.2))). The constant  $M_4$  itself can thus be represented as

$$M_{4} = \frac{P_{4}^{*(1)}(1)}{16} = \sup_{\xi \in \mathbf{I}} \sup_{P_{4} \in \mathbf{B}_{4,\xi,2}} \frac{\left|P_{4}^{(1)}(\xi)\right|}{4^{2}}$$
$$= \frac{-561 + 161\sqrt{33} + \sqrt{30(15215 + 3329\sqrt{33})}}{4608}$$
$$= 0.2992279308....$$

We note that according to ([3], (1.3), (1.4)) there holds  $\lim_{n\to\infty} M_n =$ 0.3124.... Schur ([14], p. 277) had obtained the weaker result 0.217...  $\leq$  $\lim \sup M_n \le 0.465...$  .

#### $n \rightarrow \infty$

# 3. Explicit power form representation of an extremal hard-core Zolotarev polynomial in the quartic case

Having expressed the parameters  $\lambda = \lambda(B_4)$  and  $y_1 = y_1(B_4)$  as functions of  $B_4$  alone and knowing the value of the constant  $B_4$ , it is possible to even retrieve the explicit power form of an extremal  $P_4^*$ . In fact, according to the preceding Section we have

$$P_{4}^{*}(x) = 1 - \lambda(1-x)(B_{4}-x)(y_{1}-x)^{2}$$
  
=  $1 - \frac{(1-x)(B_{4}-x)(3-2B_{4}-x)^{2}}{(B_{4}+1)(4-2B_{4})^{2}}$  (3.1)

Inserting now

$$B_4 = \frac{177 - 17\sqrt{33} + \sqrt{30(527 + 97\sqrt{33})}}{144}$$

$$= 1.8034303689...$$
(3.2)

and expanding (3.1) leads us, after some algebraic manipulations, to the explicit power form representation of an extremal quartic hard-core Zolotarev polynomial  $P_4^*$  with  $P_4^*(x) = \sum_{i=0}^4 a_i^* x^i$  and with coefficients

$$\begin{aligned} a_0^* &= \frac{21297 - 2081\sqrt{33} - \sqrt{30(3160847 + 628577\sqrt{33})}}{9216} = -0.5328330303...\\ a_1^* &= \frac{291 - 1139\sqrt{33} - \sqrt{30(-1236313 + 427337\sqrt{33})}}{4608} = -2.6688925571...\\ a_2^* &= \frac{-849 + 161\sqrt{33} + \sqrt{30(15215 + 3329\sqrt{33})}}{384} = 2.8407351706...\\ a_3^* &= \frac{4317 + 1139\sqrt{33} + \sqrt{30(-1236313 + 427337\sqrt{33})}}{4608} = 3.6688925571...\\ a_4^* &= \frac{-921 - 1783\sqrt{33} - \sqrt{330(-59555 + 64243\sqrt{33})}}{9216} = -2.3079021403...\\ (3.3) \end{aligned}$$

These optimal coefficients  $a_i^*$  are roots of the following respective quartic polynomials  $P_{4,i}$  with integer coefficients:

$$\begin{array}{rcl} P_{4,0}(x) &=& -7951932 - 7463259x + 11697424x^2 - 4089024x^3 + 442368x^4 \\ P_{4,1}(x) &=& 12221 + 273251x - 7120x^2 - 3492x^3 + 13824x^4 \\ P_{4,2}(x) &=& -236196 - 112023x + 17720x^2 + 13584x^3 + 1536x^4 \\ P_{4,3}(x) &=& 288684 - 303831x + 65348x^2 - 51804x^3 + 13824x^4 \\ P_{4,4}(x) &=& 314928 + 2644083x - 861584x^2 + 176832x^3 + 442368x^4. \end{array}$$

This result constitutes, to the best of our knowledge, the first explicit example of an extremal  $P_n^*$  which solves Schur's problem according to Erdös-Szegö ([3], Theorem 2) (here for the first nontrivial case n = 4). It is therefore worth summarizing the properties of that Schur polynomial  $P_4^* \in \mathbf{B}_4$ :

(i) The equiripple property on I, i.e., 4 alternation points, including the

endpoints  $\pm 1$ :

$$P_{4}^{*}(-1) = -1,$$

$$P_{4}^{*}(y_{1}) = 1 \text{ and } P_{4}^{*(1)}(y_{1}) = 0, \text{ where}$$

$$y_{1} = \frac{1}{72}(39 + 17\sqrt{33} - \sqrt{30(527 + 97\sqrt{33})}) = -0.6068607378...$$

$$P_{4}^{*}(y_{2}) = -1 \text{ and } P_{4}^{*(1)}(y_{2}) = 0, \text{ where}$$

$$y_{2} = \frac{1}{72}(105 - \sqrt{33} - \sqrt{30(95 + 17\sqrt{33})}) = 0.322651693...$$

$$P_{4}^{*}(1) = 1.$$

$$(3.5)$$

(ii) The Zolotarev property at three points  $A_4 < B_4 < C_4$  to the right of I (of which  $B_4$  and  $C_4$  are two additional alternation points)

$$P_{4}^{*(1)}(A_{4}) = 0, \text{ where}$$

$$A_{4} = \frac{279 + 25\sqrt{33} + \sqrt{30(2879 + 561\sqrt{33})}}{576} = 1.4764907146...$$

$$P_{4}^{*}(B_{4}) = 1, \text{ where } B_{4} \text{ is given in } (3.2)$$

$$P_{4}^{*}(C_{4}) = -1, \text{ where}$$

$$C_{4} = \frac{201 + 55\sqrt{33} - \sqrt{330(61 + 19\sqrt{33})}}{144} = 1.9444055070....$$

$$(3.6)$$

Additionally, by construction,  $P_4^*$  satisfies

$$P_4^{*(2)}(1) = 2(a_2^* + 3a_3^* + 6a_4^*) = 0, \text{ i.e., } P_4^* \in \mathbf{B}_{4,1,2}$$
  

$$P_4^{*(1)}(1) = a_1^* + 2a_2^* + 3a_3^* + 4a_4^* = 16M_4, \text{ see } (2.3),$$
(3.7)

and from ([11], (5.21)) we adopt, for n = 4, the ancillary equation

$$A_4 = \frac{3}{8}(B_4 + C_4) - \frac{1}{4}(y_1 + y_2).$$
(3.8)

That particular hard-core Zolotarev polynomial  $P_4^*$  may well serve as elucidating example to provide for explanation purposes in lectures or expository writings on Schur's problem, respectively on its solution by Erdös-Szegö, see e.g. [4].

## 4. Alternative deduction of an explicit extremal hard-core Zolotarev polynomial in the quartic case

In ([12], p. 357) we have provided explicit expressions for the parameterized coefficients of an arbitrary fourth-degree hard-core Zolotarev polynomial on **I**. But since the assumption was made there that it attains the value 1 at x = -1, we prefer to consider here the negative form of that polynomial in order to be compliant with [3]. We hence set

$$Z_{4,t}(x) = \sum_{i=0}^{4} -a_i(t)x^i, \text{ with } 1 < t < 1 + \sqrt{2}$$
(4.1)

where the  $a_i(t)$  read as follows:

$$a_{0}(t) = (-a^{5} - b^{3} + a^{4}(-2 + 3b) + a^{3}(-1 + 6b - 3b^{2}) + a(3b^{2} - 2b^{3}) + a^{2}(3b + 2b^{2} + b^{3})) \kappa$$

$$a_{1}(t) = (a^{2}(-16b + 8b^{2}) + a(-12b + 8b^{2} - 4b^{3}))\kappa,$$

$$a_{2}(t) = (a^{2}(8 - 16b) + 6b - 4b^{2} + 2b^{3} + a(6 - 4b + 2b^{2}))\kappa,$$

$$a_{3}(t) = (-4 + 8a^{2} + 8b + 8ab - 4b^{2})\kappa,$$

$$a_{4}(t) = (-4 - 6a + 2b)\kappa$$

$$(4.2)$$

with

$$\kappa = \frac{1}{(1+a)^2(-a+b)^3}$$

$$a = \frac{1-3t-t^2-t^3}{(1+t)^3}$$

$$b = \frac{1+t+3t^2-t^3}{(1+t)^3}$$
(4.3)

Here a and b with a < b are the alternation points of  $Z_{4,t}$  in the interior of **I**. We now proceed to determine the optimal parameter  $t = t^*$  and the corresponding explicit coefficients  $-a_i(t^*)$  of an extremal polynomial  $Z_{4,t^*}$ with  $Z_{4,t^*}(x) = \sum_{i=0}^{4} -a_i(t^*)x^i$  which, according to the general result in ([3], Theorem 2), solves Schur's problem (1.2) for n = 4. The assumption  $Z_{4,t} \in \mathbf{B}_{4,1,2}$ , i.e.,  $Z_{4,t}^{(2)}(1) = 0$ , implies

$$a_2(t) + 3a_3(t) + 6a_4(t) = 0. (4.4)$$

Employing the definition of  $a_i(t)$  in (4.2),(4.3) this amounts to the following equation, after some algebraic manipulations:

$$\frac{(1+t)^3(3+t(2+t))(-2+t(-7+t(1+3(-1+t)t)))}{4(t+t^3)^2} = 0.$$
 (4.5)

The numerator vanishes, for  $1 < t < 1 + \sqrt{2}$ , only if we choose

$$t = t^* = \frac{3 + \sqrt{33} + \sqrt{30(-1 + \sqrt{33})}}{12} = 1.7229220588...,$$
(4.6)

which is a root of the polynomial  $P_4(x) = -2 - 7x + x^2 - 3x^3 + 3x^4$ . Inserting the optimal parameter (4.6) into the coefficients  $-a_i(t)$  of  $Z_{4,t}$ , see (4.2), (4.3), shows that  $-a_i(t^*)$  indeed coincides for i = 0, 1, 2, 3, 4 with  $a_i^*$  as given in (3.3). We check only the coefficient  $-a_4(t)$  and leave it to the reader to check the remaining coefficients:

$$-a_4(t) = \frac{4+6a-2b}{(1+a)^2(-a+b)^3} = \frac{(1-t)(1+t)^9}{32t^3(1+t^2)^2},$$

and inserting now  $t = t^*$  according to (4.6) indeed yields  $-a_4(t^*) = a_4^*$ as given in (3.3). After all, we so obtain an alternative and independent deduction of the extremal hard-core Zolotarev polynomial  $P_4^* = Z_{4,t^*}$  which we had already found in Section 3, based on preliminary work in [3]. Summarizing, we have thus established

**Proposition 4.1.** Polynomial  $P_4^*$  with  $P_4^*(x) = \sum_{i=0}^4 a_i^* x^i$  and explicit coefficients  $a_i^*(i = 0, 1, 2, 3, 4)$  according to (3.3) is a sought-for extremal hard-core Zolotarev polynomial of degree four which solves, according to Erdös-Szegö ([3], Theorem 2), Schur's problem (1.2) for n = 4. The corresponding maximum  $\sup_{\xi \in \mathbf{I}} \sup_{P_4 \in \mathbf{B}_{4,\xi,2}} \left| P_4^{(1)}(\xi) \right| = 16M_4$  is explicitly given in (2.3), so that  $M_4$  equals the constant given in (2.5).

# 5. A generalized Schur problem and its solution for the quartic case

A. A. Markov's inequality (1.1) for the first derivative of  $P_n$  was generalized in 1892 by his half-brother V. A. Markov ([9], p. 93) to the k -th derivative and can be restated as follows, see also ([10], p. 545), ([13], Theorem 2.24):

$$\sup_{\xi \in \mathbf{I}} \sup_{P_n \in \mathbf{B}_n} \left| P_n^{(k)}(\xi) \right| = \prod_{j=0}^{k-1} \frac{n^2 - j^2}{2j+1} = T_n^{(k)}(1), (1 \le k \le n), \tag{5.1}$$

indicating that the maximum is attained if  $P_n = T_n$  and  $\xi = 1$ . Shadrin [16] has analogously generalized Schur's problem (1.2) to the k -th derivative. This generalized problem can be stated as follows:

Determine, for  $1 \le k \le n-2$  and  $n \ge 4$ , an algebraic polynomial  $P_n = P_n^*$  of degree  $\le n$  which attains the maximum

$$\sup_{\xi \in \mathbf{I}} \sup_{P_n \in \mathbf{B}_{n,\xi,k+1}} \left| P_n^{(k)}(\xi) \right| = \prod_{j=0}^{k-1} \frac{n^2 - j^2}{2j+1} M_{n,k} = T_n^{(k)}(1) M_{n,k}, \tag{5.2}$$

where  $\mathbf{B}_{n,\xi,k+1} = \{P_n \in \mathbf{B}_n : P_n^{(k+1)}(\xi) = 0\}$  and  $M_{n,k}$  is a constant (depending on *n* and *k*). Shadrin ([16], Proposition 4.4) found that, for  $k \ge 2$ , this maximum is attained if  $\xi = 1$  and  $P_n = P_n^* \in \mathbf{B}_{n,1,k+1}$  is a Zolotarev polynomial  $Z_n$  (not necessarily a hard-core one), or if  $\xi = \omega_{k,n}$ , the rightmost zero of  $T_n^{(k+1)}$ , and  $P_n = P_n^* = T_n$ , so that altogether there holds:

$$\sup_{\xi \in \mathbf{I}} \sup_{P_n \in \mathbf{B}_{n,\xi,k+1}} \left| P_n^{(k)}(\xi) \right| = \max\{ |Z_n^{(k)}(1)|, |T_n^{(k)}(\omega_{k,n})| \}.$$
(5.3)

We are now going to determine that maximum as well as an extremizer polynomial for the quartic case n = 4 and for the second derivative, i.e., k = 2 = n - 2 (the case k = 1 is settled in Proposition 4.1). It is well known that Zolotarev polynomials  $Z_n$  of degree  $n \ge 4$  on  $\mathbf{I}$  satisfy  $||Z_n||_{\infty} = 1$ (maximum-norm) and exhibit at least n equiripple points on  $\mathbf{I}$  where the values  $\pm 1$  are attained alternately, see ([16], p. 1190). Apart from sign and reflection, the Zolotarev polynomial  $Z_4$  takes on the role (see also ([1], pp. 280), ([10], p. 406)): (i)  $Z_4 = T_3$ , with  $T_3(x) = -3x + 4x^3$ 

(ii) 
$$Z_4 = T_4$$
, with  $T_4(x) = 1 - 8x^2 + 8x^4$ 

(iii) 
$$Z_4 = T_{4,\beta}$$
, with  $T_{4,\beta}(x) = T_4\left(\frac{2x-\beta+1}{1+\beta}\right)$  where  $1 < \beta \le 1+2\tan^2\left(\frac{\pi}{8}\right) = 7 - 4\sqrt{2} = 1.3431457505...,$ 

(iv)  $Z_4 = Z_{4,t}$ , the hard-core Zolotarev polynomial, as given in (4.1).

We first calculate  $|Z_4^{(2)}(1)|$ , subject to the constraint  $Z_4^{(3)}(1) = 0$ , and observe that polynomials (i), (ii), (iii) cannot be extremal due to  $T_3^{(3)}(1) = 24 \neq 0$ , resp.  $T_4^{(3)}(1) = 192 \neq 0$ , resp.  $T_{4,\beta}^{(3)}(1) = \frac{1536(3-\beta)}{(1+\beta)^4} \neq 0$  if  $1 < \beta \le 7 - 4\sqrt{2}$ . For polynomial (iv) we get, after some algebraic manipulations,

$$|Z_{4,t}^{(3)}(1)| = \left| \frac{3(1+t)^6(-1+t(-8+2t+3t^3))}{8t^3(1+t^2)^2} \right|.$$
 (5.4)

The numerator vanishes for  $1 < t < 1 + \sqrt{2}$  only if

$$t = t^{**} = \frac{1 + \sqrt{2(-1 + \sqrt{3})}}{\sqrt{3}} = 1.2759444802...$$
 (5.5)

Inserting this parameter  $t^{**}$  into  $|Z_{4,t}^{(2)}(1)|$  yields, again after some manipulations,

$$|Z_{4,t^{**}}^{(2)}(1)| = \left| -12 - \frac{22}{\sqrt{3}} + 4\sqrt{\frac{10}{3} + 2\sqrt{3}} \right| = 14.2729495641....$$
(5.6)

In view of (5.3), we have to compare (5.6) to  $|T_4^{(2)}(\omega_{2,4})|$ . Since the only, and hence the rightmost, zero of  $T_4^{(3)}$  is  $\omega_{2,4} = 0$ , we get  $|T_4^{(2)}(0)| = |-16| = 16 > |Z_{4,t^{**}}^{(2)}(1)|$ . So eventually we arrive at the identity

$$\sup_{\xi \in \mathbf{I}} \sup_{P_4 \in \mathbf{B}_{4,\xi,3}} |P_4^{(2)}(\xi)| = \max\{|Z_4^{(2)}(1)|, |T_4^{(2)}(0)|\} = 16$$
  
$$= \prod_{j=0}^1 \frac{4^2 - j^2}{2j+1} M_{4,2} = 80M_{4,2},$$
(5.7)

yielding  $M_{4,2} = \frac{1}{5} = 0.2$ . Summarizing, we have thus established

**Proposition 5.1.** Polynomial  $P_4^* = T_4$  with  $T_4(x) = 1 - 8x^2 + 8x^4$  is a soughtfor extremal polynomial of degree four which solves, according to Shadrin ([16], Proposition 4.4), the generalized Schur problem (5.2) for n = 4 and k = 2. The corresponding maximum  $\sup_{\xi \in \mathbf{I}} \sup_{P_4 \in \mathbf{B}_{4,\xi,3}} |P_4^{(2)}(\xi)| = 80M_{4,2}$  is 16, so that  $M_{4,2}$  equals the constant  $\frac{1}{5}$ . Shadrin ([16], Theorem 7.1) has added to (5.3) the following estimate which can be viewed as an extension, to the *k*-th derivative, of Schur's estimate (1.3):

$$\sup_{\xi \in \mathbf{I}} \sup_{P_n \in \mathbf{B}_{n,\xi,k+1}} |P_n^{(k)}(\xi)| \le \prod_{j=0}^{k-1} \frac{n^2 - j^2}{2j+1} \lambda_{n,k} = T_n^{(k)}(1) \lambda_{n,k} \quad (1 \le k \le n-2),$$
(5.8)

where  $\lambda_{n,k} = \frac{1}{k+1} \cdot \frac{n-1}{n-1+k}$ . Thus for k = 2 and n = 4 we get  $\lambda_{4,2} = \frac{1}{3} \cdot \frac{3}{5} = \frac{1}{5} = 0.2 = M_{4,2}$ , see (5.7). However, for k = 1 and n = 4 we get  $\lambda_{4,1} = \frac{1}{2} \cdot \frac{3}{4} = \frac{3}{8} = 0.375 > M_4 = 0.299...$ , see (2.5) and ([16], Remark 5.5).

#### 6. Concluding Remarks

1. In deducing Proposition 1 we have been guided by a computer algebra system which the authors of [3], who have paved the way, certainly did not have at their disposal.

2. Our explicit power form representation ([12], p. 357) for the fourth hardcore Zolotarev polynomial  $Z_{4,t}$  remained unnoticed, and several related formulas have been published afterwards, e.g. ([2], p. 184), ([15], p. 242), ([18], p. 721). Shadrin [15] attributes his formula (with a different range of the parameter t) to V. A. Markov [9] and remarks: But already for n = 4 it seems that nobody really believed that an explicit form can be found. As a matter of fact it was, by V. Markov in 1892. In a private communication Professor Shadrin kindly called our attention to p. 73 in [9] from which his formula can be recovered. However, one has first to exploit the relation  $4z = t^3 + t$  (see p. 71 in [9]), then fix the parameter  $\alpha$  and finally rearrange the Taylor form of the given fourth-degree polynomial, centered at  $x_0 = 2z$ , to the usual power form centered at  $x_0 = 0$ . It is under these side conditions that priority for the power form representation of  $Z_{4,t}$  belongs indeed to V. A. Markov [9].

3. In Section 4 we have alternatively deduced the Schur polynomial  $P_4^*$  from the explicit power form  $Z_{4,t}(x) = \dots$  as given, up to the sign, in ([12], p. 357).  $P_4^*$  can likewise be deduced from the explicit power form  $Z_4(x,t) = \dots$ as given in ([15], p. 242), however instead of  $Z_{4,t}^{(2)}(1) = 0$  (see (4.4)) one has then to set  $Z_4^{(2)}(-1,t) = 0$ .

4. As some progress has been achieved in the computation of  $Z_{n,t}$  for the next higher polynomial degrees  $n \ge 5$  (see [5], [6], [7], [11]), we hope that we will be able to extend our results to some  $n \ge 5$ .

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Heinz-Joachim Rack Dr. Rack Consulting GmbH Steubenstrasse 26 a 58097 Hagen, Germany e-mail: heinz-joachim.rack@drrack.com