

## Search Techniques for Artificial Intelligence

Search is a central topic in Artificial Intelligence. This part of the course will show why search is such an important topic, present a general approach to representing problems to do with search, introduce several search algorithms, and demonstrate how to implement these algorithms in Prolog.

- Motivation: Applications and Toy Examples
- The State-Space Representation
- Uninformed Search Techniques:
  - Depth-first Search (several variations)
  - Breadth-first Search
  - Iterative Deepening
- Best-first Search with the A\* Algorithm

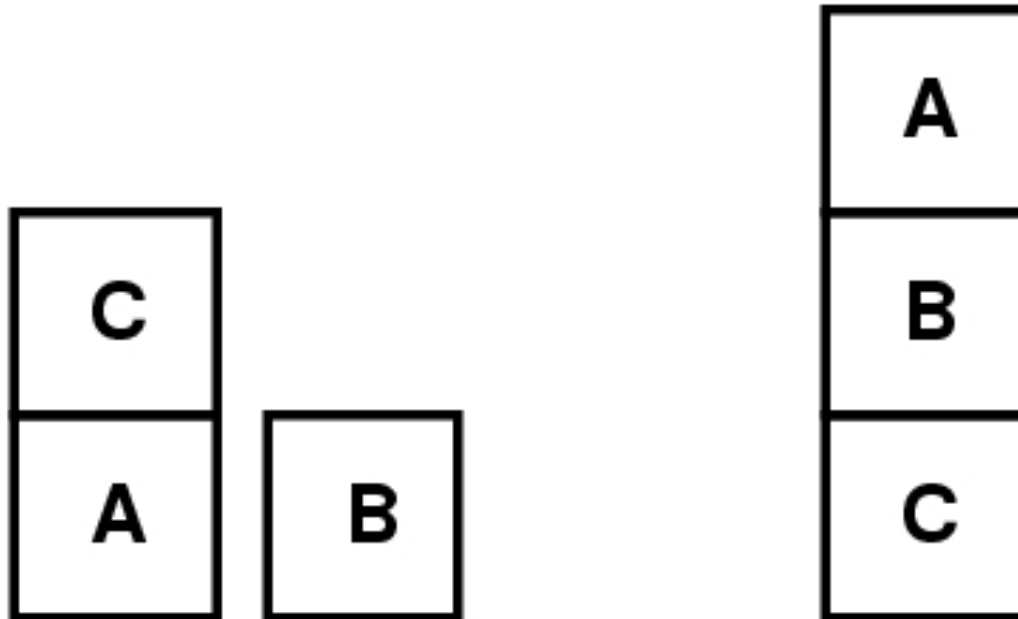
## Route Planning





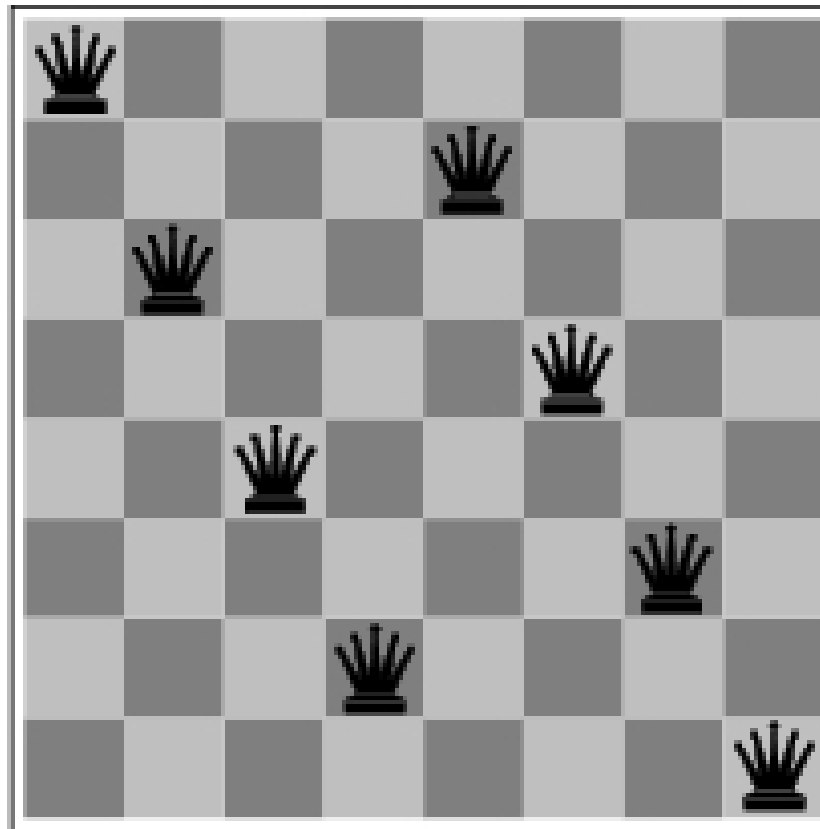
## Planning in the Blocks World

How can we get from the situation depicted on the left to the situation shown on the right?



## Eight-Queens Problem

Arrange eight queens on a chess board in such a manner that none of them can attack any of the others!



Source: Russell & Norvig, *Artificial Intelligence*

The above is *almost* a solution, but not quite ...

## Eight-Puzzle

Yet another puzzle ...

7	2	4
5		6
8	3	1

	1	2
3	4	5
6	7	8

Source: Russell & Norvig, *Artificial Intelligence*

## Search and Optimisation Problems

All these problems have got a common structure:

- We are faced with an initial situation and we would like to achieve a certain goal.
- At any point in time we have different simple actions available to us (e.g. “turn left” vs. “turn right”). Executing a particular sequence of such actions may or may not achieve the goal.
- *Search* is the process of inspecting several such sequences and choosing one that achieves the goal.
- For some applications, each sequence of actions may be associated with a certain cost. A search problem where we aim not only at reaching our goal but also at doing so at minimal cost is an *optimisation* problem.

## The State-Space Representation

- *State space*: What are the possible states? Examples:
  - Route planning: position on the map
  - Blocks World: configuration of blocksA concrete problem must also specify the *initial state*.
- *Moves*: What are legal moves between states? Examples:
  - Turning  $45^\circ$  to the right could be a legal move for a robot.
  - Putting block *A* on top of block *B* is *not* a legal move if block *C* is currently on top of *A*.
- *Goal state*: When have we found a solution? Example:
  - Route planning: `position` = “Plantage Muidergracht 24”
- *Cost function*: How costly is a given move? Example:
  - Route planning: The cost of moving from position *X* to position *Y* could be the distance between the two.



## Prolog Representation

For now, we are going to ignore the cost of moving from one node to the next; that is, we are going to deal with pure search problems.

A *problem specification* has to include the following:

- The representation of states/nodes is problem-specific. In the simplest case, a state will simply be represented by its name (e.g. a Prolog atom).
- `move(+State, -NextState)`.  
Given the current `State`, instantiate the variable `NextState` with a possible follow-up state (and all possible follow-up states through backtracking).
- `goal(+State)`.  
Succeed if `State` represents a goal state.

## Example: Representing the Blocks World

- *State representation:* We use a list of three lists with the atoms **a**, **b**, and **c** somewhere in these lists. Each sublist represents a stack. The first element in a sublist is the top block. The order of the sublists in the main list does not matter. Example:

```
[ [c,a], [b], [] ]
```

- *Possible moves:* You can move the top block of any stack onto any other stack:

```
move(Stacks, NewStacks) :-  
    select([Top|Stack1], Stacks, Rest),  
    select(Stack2, Rest, OtherStacks),  
    NewStacks = [Stack1, [Top|Stack2] | OtherStacks].
```

- *Goal state:* We assume our goal is always to get a stack with **a** on top of **b** on top of **c** (other goals are, of course, possible):

```
goal(Stacks) :- member([a,b,c], Stacks).
```

## Searching the State Space

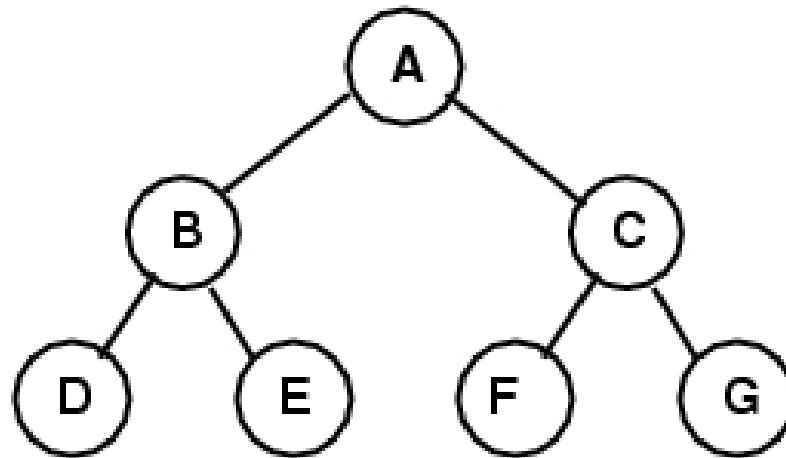
The set of all possible sequences of legal moves form a *tree*:

- The *nodes* of the tree are labelled with states (the same state could label many different nodes).
- The initial state is the *root* of the tree.
- For each of the legal follow-up moves of a given state, any node labelled with that state will have a *child* labelled with the follow-up state.
- Each *branch* corresponds to a sequence of states (and thereby also a sequence of moves).

There are, at least, two ways of moving through such a tree:  
*depth-first* and *breadth-first* search ...

## Depth-first Search

In depth-first search, we start with the root node and completely explore the descendants of a node before exploring its siblings (and siblings are explored in a left-to-right fashion).



Depth-first traversal:  $A \rightarrow B \rightarrow D \rightarrow E \rightarrow C \rightarrow F \rightarrow G$

Implementing depth-first search in Prolog is very easy, because Prolog itself uses depth-first search during backtracking.

## Depth-first Search in Prolog

We are going to define a “user interface” like the following for each of our search algorithms:

```
solve_depthfirst(Node, [Node|Path]) :-  
    depthfirst(Node, Path).
```

Next the actual algorithm: Stop if the current `Node` is a goal state; otherwise move to the `NextNode` and continue to search. Collect the nodes that have been visited in `Path`.

```
depthfirst(Node, []) :-  
    goal(Node).
```

```
depthfirst(Node, [NextNode|Path]) :-  
    move(Node, NextNode),  
    depthfirst(NextNode, Path).
```

## Testing: Blocks World

It's working pretty well for some problem instances ...

```
?- solve_depthfirst([[c,b,a],[],[]], Plan).  
Plan = [[c,b,a], [], []],  
       [[b,a], [c], []],  
       [[a], [b,c], []],  
       [[], [a,b,c], []]]
```

Yes

... but not for others ...

```
?- solve_depthfirst([[c,a],[b],[]], Plan).  
ERROR: Out of local stack
```

## Explanation

Debugging reveals that we are stuck in a loop:

```
?- spy(depthfirst).
```

```
[debug] ?- solve_depthfirst([[c,a],[b],[ ]], Plan).
```

```
Call: (9) depthfirst([[c, a], [b], [ ]], _G403) ? leap
```

```
Redo: (9) depthfirst([[c, a], [b], [ ]], _G403) ? leap
```

```
Call: (10) depthfirst([[a], [c, b], [ ]], _G406) ? leap
```

```
Redo: (10) depthfirst([[a], [c, b], [ ]], _G406) ? leap
```

```
Call: (11) depthfirst([[]], [a, c, b], [ ]], _G421) ? leap
```

```
Redo: (11) depthfirst([[]], [a, c, b], [ ]], _G421) ? leap
```

```
Call: (12) depthfirst([[c, b], [a], [ ]], _G436) ? leap
```

```
Redo: (12) depthfirst([[c, b], [a], [ ]], _G436) ? leap
```

```
Call: (13) depthfirst([[b], [c, a], [ ]], _G454) ? leap
```

```
Redo: (13) depthfirst([[b], [c, a], [ ]], _G454) ? leap
```

```
Call: (14) depthfirst([[]], [b, c, a], [ ]], _G469) ? leap
```

```
Redo: (14) depthfirst([[]], [b, c, a], [ ]], _G469) ? leap
```

```
Call: (15) depthfirst([[c, a], [b], [ ]], _G484) ?
```

## Cycle Detection

The solution is simple: we need to disallow any moves that would result in a loop. That is, if the next state is already present in the set of nodes visited so far, choose another follow-up state instead.

From now on we are going to use the following “wrapper” around the `move/2` predicate defined by the application:

```
move_cyclefree(Visited, Node, NextNode) :-  
    move(Node, NextNode),  
    \+ member(NextNode, Visited).
```

Here, the first argument should be instantiated with the list of nodes visited already.

But note that we cannot just replace `move/2` by `move_cyclefree/3` in `depthfirst/2`, because `Visited` is not available where needed.



## Cycle-free Depth-first Search in Prolog

Now the nodes will be collected as we go along, so we have to reverse the list of nodes in the end:

```
solve_depthfirst_cyclefree(Node, Path) :-  
    depthfirst_cyclefree([Node], Node, RevPath),  
    reverse(RevPath, Path).
```

The first argument is an accumulator collecting the nodes visited so far; the second argument is the current node; and the third argument will be instantiated with the solution path (which equals the accumulator once we've hit a goal node):

```
depthfirst_cyclefree(Visited, Node, Visited) :-  
    goal(Node).
```

```
depthfirst_cyclefree(Visited, Node, Path) :-  
    move_cyclefree(Visited, Node, NextNode),  
    depthfirst_cyclefree([NextNode|Visited], NextNode, Path).
```

## Repetitions and Loops

- Note that our “cycle-free” algorithm does not necessarily avoid repetitions. It only avoids repetitions on the same branch, but if the the same state occurs on two different branches, then both nodes will be visited.
- As long as branching is finite, this still avoids looping.
- For problems with a high branching factor and a relatively small number of possible distinct states, it may be worthwhile to design an algorithm that can also detect repetitions across branches.

## Testing Again

With our new cycle-free algorithm, we get an answer to the query that did cause an infinite loop earlier:

```
?- solve_depthfirst_cyclefree([[c,a],[b],[ ]], Plan).  
Plan = [[c,a],[b],[ ]], [[a],[c,b],[ ]], [[],[a,c,b],[ ]],  
        [[c,b],[a],[ ]], [[b],[c,a],[ ]], [[],[b],[c,a]],  
        [[a],[c],[b]], [[],[a,c],[b]], [[c],[a],[b]],  
        [[],[c,b],[a]], [[b],[c],[a]], [[],[b,c],[a]],  
        [[c],[b],[a]], [[],[b,a],[c]], [[a],[b,c],[ ]],  
        [[],[a,b,c],[ ]]
```

Yes

But there must be a better solution than a path with 16 nodes!

## Restricting Search to Short Paths

- A possible solution to our problem of getting an unnecessarily long solution path is to restrict search to paths of “acceptable” length.
- The idea is to stop expanding the current branch once it has reached a certain maximal depth (the *bound*) and to move on to the next branch.
- Of course, like this we may miss some solutions further down the current path. On the other hand, we increase chances of finding a short solution on another branch within a reasonable amount of time.

## Depth-bounded Depth-first Search in Prolog

The program is basically the same as for cycle-free depth-first search. We have one additional argument, the `Bound`, to be specified by the user.

```
solve_depthfirst_bound(Bound, Node, Path) :-  
    depthfirst_bound(Bound, [Node], Node, RevPath),  
    reverse(RevPath, Path).  
  
depthfirst_bound(_, Visited, Node, Visited) :-  
    goal(Node).  
  
depthfirst_bound(Bound, Visited, Node, Path) :-  
    Bound > 0,  
    move_cyclefree(Visited, Node, NextNode),  
    NewBound is Bound - 1,  
    depthfirst_bound(NewBound, [NextNode|Visited], NextNode, Path).
```

## Testing Again

Now we can generate a short plan for our Blocks World problem, at least if we can guess a suitable value for the bound required as input to the depth-bounded depth-first search algorithm:

```
?- solve_depthfirst_bound(2, [[c,a],[b],[ ]], Plan).
```

No

```
?- solve_depthfirst_bound(3, [[c,a],[b],[ ]], Plan).
```

```
Plan = [[ [c,a], [b], [ ] ],  
        [ [a], [c], [b] ],  
        [ [ ], [b,c], [a] ],  
        [ [ ], [a,b,c], [ ] ] ]
```

Yes

## Complexity Analysis

- It is important to understand the *complexity* of an algorithm.
  - *Time complexity*: How much time will it take to compute a solution to the problem?
  - *Space complexity*: How much memory do we need to do so?
- We may be interested in both a *worst-case* and an *average-case* complexity analysis.
  - *Worst-case analysis*: How much time/memory will the algorithm require in the worst case?
  - *Average-case analysis*: How much time/memory will the algorithm require on average?
- It is typically extremely difficult to give a formal average-case analysis that is theoretically sound. Experimental studies using real-world data are often the only way.
  - ↪ Here we are only going to attempt a worst-case analysis.

## Time Complexity of Depth-first Search

- As there can be infinite loops, in the worst case, the simple depth-first algorithm will never stop. So we are going to analyse depth-bounded depth-first search instead.
- Let  $d_{max}$  be the maximal depth allowed. (If we happen to know that no branch in the tree can be longer than  $d_{max}$ , then our analysis will also apply to the other two depth-first algorithms.)
- For simplicity, assume that for every possible state there are exactly  $b$  possible follow-up states. That is,  $b$  is the branching factor of the search tree.



## Time Complexity of Depth-first Search (cont.)

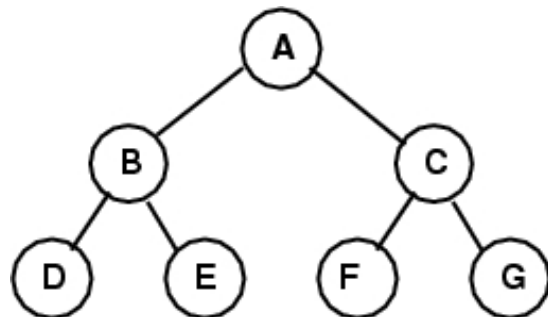
- *What is the worst case?*

In the worst case, every branch has length  $d_{max}$  (or more) and the only node labelled with a goal state is the last node on the rightmost branch. Hence, depth-first search will visit *all* the nodes in the tree (up to depth  $d_{max}$ ) before finding a solution.

- So *how many nodes* are there in a tree of height  $d_{max}$  with branching factor  $b$ ?

$$\Rightarrow 1 + b + b^2 + b^3 + \dots + b^{d_{max}}$$

Example:  $b = 2$  and  $d_{max} = 2$



$$1 + 2^1 + 2^2 = 2^{2+1} - 1 = 7$$

## Big-O Notation

When analysing the complexity of algorithms, small constants and the like don't matter very much. What we are really interested is the *order of magnitude* with which the complexity of the algorithm increases as we increase the size of the input.

Let  $n$  be the problem size and let  $f(n)$  be the precise complexity.

We say that  $f(n)$  is in  $O(g(n))$  iff there exist an  $n_0 \in \mathbb{N}$  and a  $c \in \mathbb{R}^+$  such that  $f(n) \leq c \cdot g(n)$  for all  $n \geq n_0$ .

Example: The worst-case time complexity of depth-bounded depth-first search is in  $O(b^{d_{max}})$ . We also say that the complexity of this algorithm is *exponential* in  $d_{max}$ .

## Exponential Complexity

In general, in Computer Science, anything exponential is considered bad news. Indeed, our simple search techniques will usually not work very well (or at all) for larger problem instances.

Suppose the branching factor is  $b = 4$  and suppose it takes us 1 millisecond to check one node. What kind of depth bound would be feasible to use in depth-first search?

Depth	Nodes	Time
2	21	0.021 seconds
5	1365	1.365 seconds
10	1398101	23.3 minutes
15	1431655765	16.6 days
20	1466015503701	46.5 years

## Space Complexity of Depth-first Search

The good news is that depth-first search is very efficient in view of its memory requirements:

- At any point in time, we only need to keep the path from the root to the current node in memory, and —depending on the exact implementation— possibly also all the sibling nodes for each of the nodes in that path.
- The length of the path is at most  $d_{max}+1$  and each of the nodes on the path will have at most  $b-1$  siblings left to consider.
- Hence, the worst-case space complexity is  $O(b \cdot d_{max})$ .

That is, the complexity is *linear* in  $d_{max}$ .

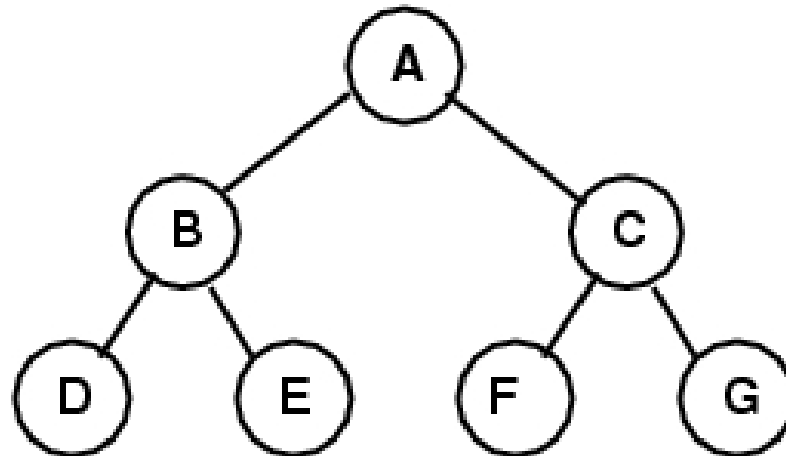
In fact, because Prolog uses backtracking, sibling nodes do not need to be kept in memory explicitly. Therefore, space complexity even reduces to  $O(d_{max})$ .

## Breadth-first Search

The problem with (unbounded) depth-first search is that we may get lost in an infinite branch, while there could be another short branch leading to a solution.

The problem with depth-bounded depth-first search is that it can be difficult to correctly estimate a good value for the bound.

Such problems can be overcome by using *breadth-first* search, where we explore (right-hand) siblings before children.



Breadth-first traversal:  $A \rightarrow B \rightarrow C \rightarrow D \rightarrow E \rightarrow F \rightarrow G$

## Breadth-first Search: Implementation Difficulties

How do we keep track of which nodes we have already visited and how do we identify the next node to go to?

Recall that for depth-first search, in theory, we had to keep the current branch in memory, together with all the sibling nodes of the nodes on that branch.

Because of the way backtracking works, in Prolog we actually only had to keep track of the current node (Prolog keeps the corresponding path on its internal recursion stack).

For breadth-first search, we are going to have to take care of the memory management by ourselves.

## Breadth-first Search: Implementation Idea

The algorithm will maintain a *list of the currently active paths*.

Each round of the algorithm running consists of three steps:

- (1) Remove the first path from the list of paths.
- (2) Generate a new path for every possible follow-up state of the state labelling the last node in the selected path.
- (3) Append the list of newly generated paths to the *end* of the list of paths (to ensure paths are really being visited in breadth-first order).

## Breadth-first Search in Prolog

The usual “user interface” takes care of initialising the list of active paths and of reversing the solution path in the end:

```
solve_breadthfirst(Node, Path) :-  
    breadthfirst([[Node]], RevPath),  
    reverse(RevPath, Path).
```

And here is the actual algorithm:

```
breadthfirst([[Node|Path]|_], [Node|Path]) :-  
    goal(Node).
```

```
breadthfirst([Path|Paths], SolutionPath) :-  
    expand_breadthfirst(Path, ExpPaths),  
    append(Paths, ExpPaths, NewPaths),  
    breadthfirst(NewPaths, SolutionPath).
```



## Expanding Branches

We still need to implement `expand_breadthfirst/2 ...`

Given a `Path` (represented in reverse order), the predicate should generate the list of expanded paths we get by making a single move from the last `Node` in the input path.

```
expand_breadthfirst([Node|Path], ExpPaths) :-  
    findall([NewNode,Node|Path],  
           move_cyclefree(Path,Node,NewNode),  
           ExpPaths).
```

## Example

We are now able to find the shortest possible plan for our Blocks World scenario, without having to guess a suitable bound first:

```
?- solve_breadthfirst([[c,a],[b],[ ]], Plan).
```

```
Plan = [[c,a], [b], [ ]],  
        [[a], [c], [b]],  
        [[ ], [b,c], [a]],  
        [[ ], [a,b,c], [ ]]
```

Yes

## Completeness and Optimality

Some good news about breadth-first search:

- Breadth-first search guarantees *completeness*: if there exists a solution it will be found eventually.
- Breadth-first search also guarantees *optimality*: the first solution returned will be as short as possible.

(Remark: This interpretation of optimality assumes that every move has got a cost of 1. With real cost functions it does become a little more involved.)

Recall that depth-first search does not ensure either completeness or optimality.

## Complexity Analysis of Breadth-first Search

*Time complexity:* In the worst case, we have to search through the entire tree for any search algorithm. As both depth-first and breadth-first search visit each node exactly once, time complexity will be the same.

Let  $d$  be the the depth of the first solution and let  $b$  be the branching factor (again, assumed to be constant for simplicity). Then worst-case time complexity is  $O(b^d)$ .

*Space complexity:* Big difference; now we have to store every path visited before, while for depth-first we only had to keep a single branch in memory. Hence, space complexity is also  $O(b^d)$ .

So there is a *trade-off* between memory-requirements on the one hand and completeness/optimalty considerations on the other.

## Best of Both Worlds

We would like an algorithm that, like breadth-first search, is guaranteed (1) to visit every node on the tree eventually and (2) to return the shortest possible solution, but with (3) the favourable memory requirements of a depth-first algorithm.

Observation: Depth-bounded depth-first search *almost* fits the bill.

The only problem is that we may choose the bound either

- *too low* (losing completeness by stopping early) or
- *too high* (becoming too similar to normal depth-first with the danger of getting lost in a single deep branch).

Idea: Run depth-bounded depth-first search again and again, with increasing values for the bound!

This approach is called *iterative deepening* ...

## Iterative Deepening

We can specify the iterative deepening algorithm as follows:

- (1) Set  $n$  to 0.
- (2) Run depth-bounded depth-first search with bound  $n$ .
- (3) Stop and return answer in case of success;  
increment  $n$  by 1 and go back to (2) otherwise.

However, in Prolog we can implement the same algorithm also in a more compact manner ...

## Finding a Path from A to B

A central idea in our implementation of iterative deepening in Prolog will be to provide a predicate that can compute a path of moves from a given start node to some end node.

```
path(Node, Node, [Node]).
```

```
path(FirstNode, LastNode, [LastNode|Path]) :-  
    path(FirstNode, PenultimateNode, Path),  
    move_cyclefree(Path, PenultimateNode, LastNode).
```

## Iterative Deepening in Prolog

The implementation of iterative deepening now becomes surprisingly easy. We can rely on the fact that Prolog will enumerate candidate paths, of increasing length, from the initial node to a goal node.

```
solve_iterative_deepening(Node, Path) :-  
    path(Node, GoalNode, RevPath),  
    goal(GoalNode),  
    reverse(RevPath, Path).
```



## Example

And it really works:

```
?- solve_iterative_deepening([[a,c,b],[],[ ]], Plan).  
Plan = [[a,c,b], [ ], [ ]],  
        [[c,b], [a], [ ]],  
        [[b], [c], [a]],  
        [[ ], [b,c], [a]],  
        [[ ], [a,b,c], [ ]]
```

Yes

Note: Iterative deepening will go into an infinite loop when there are no more answers (even when the search tree is finite). A more sophisticated implementation could avoid this problem.

## Complexity Analysis of Iterative Deepening

*Space complexity:* As for depth-first search, at any moment in time we only keep a single path in memory  $\leadsto O(d)$ .

*Time complexity:* This seems worse than for the other algorithms, because the same nodes will get generated again and again.

However, time complexity is of the same order of magnitude as before. If we add the complexities for depth-bounded depth-first search for maximal depths  $0, 1, \dots, d$  (somewhat abusing notation), we still end up with  $O(b^d)$ :

$$O(b^0) + O(b^1) + O(b^2) + \dots + O(b^d) = O(b^d)$$

In practice, memory issues are often the greater problem, and iterative deepening is typically the best of the (uninformed) search algorithms we have considered so far.

## Summary: Uninformed Search

We have introduced the following *general-purpose* algorithms:

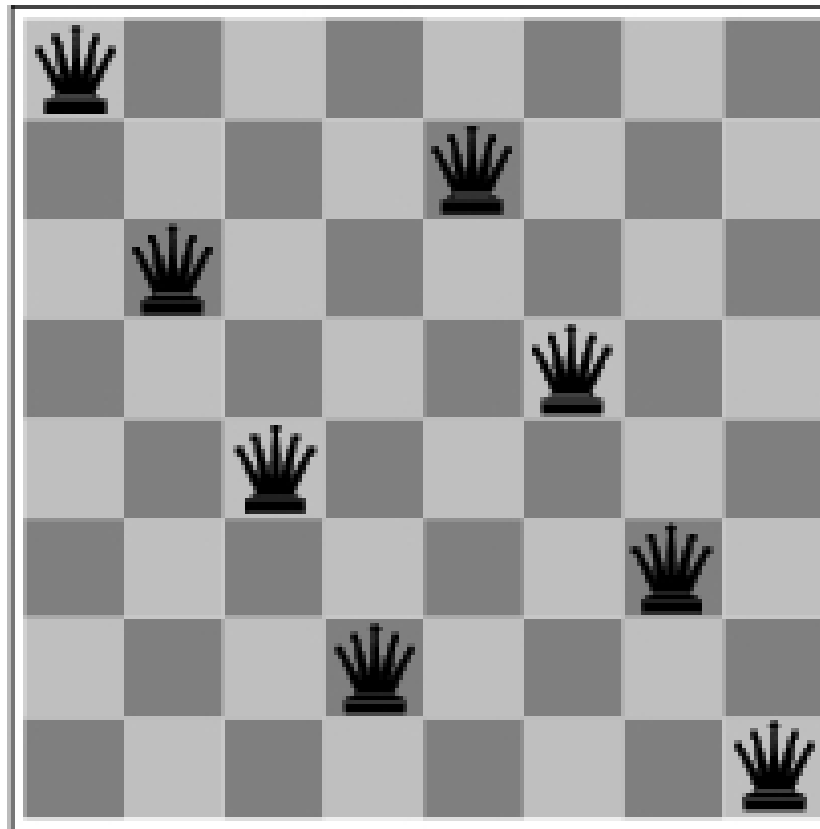
- Depth-first search:
  - Simple version: `solve_depthfirst/2`
  - Cycle-free version: `solve_depthfirst_cyclefree/2`
  - Depth-bounded version: `solve_depthfirst_bound/3`
- Breadth-first search: `solve_breadthfirst/2`
- Iterative deepening: `solve_iterative_deepening/2`

These algorithms (and their implementations, as given on these slides) are applicable to *any* problem that can be formalised using the *state-space* approach. The Blocks World has just been an example!

Next we are going to see how to formalise a second (very different) problem domain.

## Recall the Eight-Queens Problem

Arrange eight queens on a chess board in such a manner that none of them can attack any of the others!



Source: Russell & Norvig, *Artificial Intelligence*

The above is *almost* a solution, but not quite ...

## Representing the Eight-Queens Problem

Imagine you are trying to solve the problem by going through the columns one by one (we'll do it right-to-left), placing a queen in an appropriate row for each column.

- *States*: States are partial solutions, with a queen placed in columns  $n$  to 8, but not 1 to  $n-1$ . We represent them as lists of pairs. Example:

[4/2, 5/7, 6/5, 7/3, 8/1]

The initial state is the empty list: []

- *Moves*: A move amounts to adding a queen in the rightmost empty column. Moves are only legal if the new queen does not attack any of the queens already present on the board.
- *Goal state*: The goal has been achieved as soon as there are 8 queens on the board. By construction, none of the queens will attack any of the others.

## Specifying the Attack-Relation

The predicate `noattack/2` succeeds if the queen given in the first argument position does not attack any of the queens in the list given as the second argument.

```
noattack(_, []).
```

```
noattack(X/Y, [X1/Y1|Queens]) :-  
    X =\= X1,          % not in same column  
    Y =\= Y1,          % not in same row  
    Y1-Y =\= X1-X,    % not on ascending diagonal  
    Y1-Y =\= X-X1,    % not on descending diagonal  
    noattack(X/Y, Queens).
```

Examples:

```
?- noattack(3/4, [1/8,2/6]).    ?- noattack(2/7, [1/8]).  
Yes                               No
```

## Representing the Eight-Queens Problem (cont.)

We are now in a position to define `move/2` and `goal/1` for the eight-queens problem:

- *Moves.* Making a move means adding one more queen `X/Y`, where `X` is the next column and `Y` could be anything, such that the new queen does not attack any of the old ones:

```
move(Queens, [X/Y|Queens]) :-  
    length(Queens, Length),  
    X is 8 - Length,  
    member(Y, [1,2,3,4,5,6,7,8]),  
    noattack(X/Y, Queens).
```

- *Goal state.* We have achieved our goal once we have placed 8 queens on the board:

```
goal(Queens) :- length(Queens, 8).
```

## Solution

What is special about the eight-queens problem (or rather our formalisation thereof) is that there are no cycles or infinite branches in the search tree. Therefore, *all* of our search algorithms will work.

Here's the (first) solution found by the basic depth-first algorithm:

```
?- solve_depthfirst([], Path), last(Path, Solution).  
Path = [[], [8/1], [7/5, 8/1], [6/8, 7/5, 8/1], ...]  
Solution = [1/4, 2/2, 3/7, 4/3, 5/6, 6/8, 7/5, 8/1]  
Yes
```

Note that here we are not actually interested in the *path* to the final state, but only the *final state itself* (hence the use of `last/2`).



## Heuristic-guided Search

- Our complexity analysis of the various basic search algorithms has shown that they are unlikely to produce results for slightly more complex problems than we have considered here.
- In general, there is no way around this problem. In practice, however, good *heuristics* that tell us which part of the search tree to explore next, can often help to find solutions also for larger problem instances.
- In this final chapter on search techniques for AI, we are going to discuss one such heuristic, which leads to the well-known A\* algorithm.

## Optimisation Problems

- From now on, we are going to consider *optimisation* problems (rather than simple *search* problems as before). Now every move is associated with a *cost* and we are interested in a solution path that minimises the overall cost.
- We are going to use a predicate `move/3` instead of `move/2`. The third argument is used to return the cost of an individual move.

## Best-first Search and Heuristic Functions

- For both *depth-first* and *breadth-first* search, which node in the search tree will be considered next only depends on the structure of the tree.
- The rationale in *best-first* search is to expand those paths next that seem the most “promising”. Making this vague idea of what may be promising precise means defining *heuristics*.
- We fix heuristics by means of a *heuristic function*  $h$  that is used to *estimate* the “distance” of the current node  $n$  to a goal node:

$$h(n) = \textit{estimated cost from node } n \textit{ to a goal node}$$

Of course, the definition of  $h$  is highly application-dependent. In the *route-planning* domain, for instance, we could use the straight-line distance to the goal location. For the *eight-puzzle*, we might use the number of misplaced tiles.

## Best-first Search Algorithms

There are of course many different ways of defining a heuristic function  $h$ . But there are also different ways of *using*  $h$  to decide which path to expand next; which gives rise to different best-first search algorithms.

One option is *greedy* best-first search:

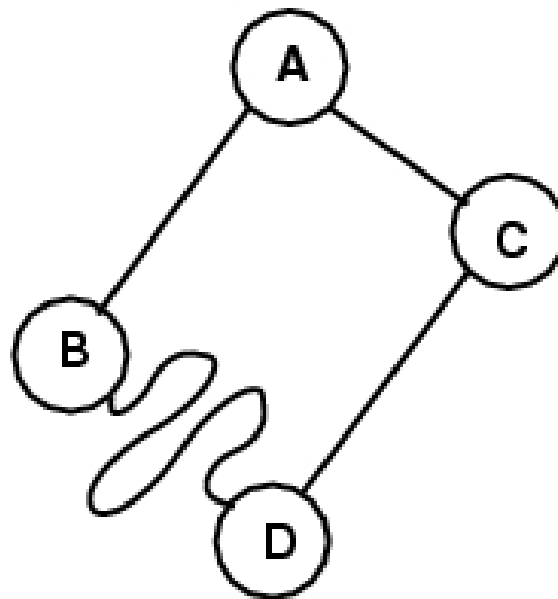
- expand a path with an end node  $n$  such that  $h(n)$  is *minimal*

Breadth-first and depth-first search may also be seen as special cases of best-first search (which do not use  $h$  at all):

- Breadth-first: expand the (leftmost of the) *shortest* path(s)
- Depth-first: expand the (leftmost of the) *longest* path(s)

## Example: Greedy Best-first Search

Greedy best-first search means always trying to continue with the node that seems closest to the goal. This will work sometimes, but not all of the time:



Clearly, greedy best-first search is not *optimal*. Like depth-first search, it is also not *complete*.

## The A\* Algorithm

The central idea in the so-called *A\* algorithm* is to guide best-first search both by

- the estimate to the goal as given by the heuristic function  $h$  and
- the cost of the path developed so far.

Let  $n$  be a node,  $g(n)$  the cost of moving from the initial node to  $n$  along the current path, and  $h(n)$  the estimated cost of reaching a goal node from  $n$ . Define  $f(n)$  as follows:

$$f(n) = g(n) + h(n)$$

This is the *estimated cost of the cheapest path through  $n$*  leading from the initial node to a goal node. *A\* is the best-first search algorithm that always expands a node  $n$  such that  $f(n)$  is minimal.*

## A\* in Prolog

On the following slides, we give an implementation of A\* in Prolog. Users of this algorithm will have to implement the following application-dependent predicates themselves:

- `move(+State,-NextState,-Cost)`.

Given the current `State`, instantiate the variable `NextState` with a possible follow-up state and the variable `Cost` with the associated cost (all possible follow-up states should get generated through backtracking).

- `goal(+State)`.

Succeed if `State` represents a goal state.

- `estimate(+State,-Estimate)`.

Given a `State`, instantiate the variable `Estimate` with an estimate of the cost of reaching a goal state. This predicate implements the heuristic function.

## A\* in Prolog: User Interface

Now we are not only going to maintain a list of paths (as in breadth-first search, for instance), but a *list of (reversed) paths* labelled with the current *cost*  $g(n)$  and the current *estimate*  $h(n)$ :

General form: Path/Cost/Estimate

Example: [c,b,a,s]/6/4

Our usual “user interface” initialises the list of labelled paths with the path consisting of just the initial node, labelled with cost 0 and the appropriate estimate:

```
solve_astar(Node, Path/Cost) :-  
    estimate(Node, Estimate),  
    astar([[Node]/0/Estimate], RevPath/Cost/_),  
    reverse(RevPath, Path).
```

That is, for the final output, we are not interested in the estimate anymore, but we do report the cost of solution paths.



## A\* in Prolog: Moves

The following predicate serves as a “wrapper” around the `move/3` predicate supplied by the application developer:

```
move_astar([Node|Path]/Cost/_, [NextNode,Node|Path]/NewCost/Est) :-  
    move(Node, NextNode, StepCost),  
    \+ member(NextNode, Path),  
    NewCost is Cost + StepCost,  
    estimate(NextNode, Est).
```

After calling `move/3` itself, the predicate (1) checks for cycles, (2) updates the cost of the current path, and (3) labels the new path with the estimate for the new node.

The predicate `move_astar/2` will be used to generate all expansions of a given path by a single state:

```
expand_astar(Path, ExpPaths) :-  
    findall(NewPath, move_astar(Path,NewPath), ExpPaths).
```

## A\* in Prolog: Getting the Best Path

The following predicate implements the search strategy of A\*: from a list of labelled paths, we select one that minimises the sum of the current cost and the current estimate.

```
get_best([Path], Path) :- !.
```

```
get_best([Path1/Cost1/Est1, _/Cost2/Est2|Paths], BestPath) :-  
    Cost1 + Est1 =< Cost2 + Est2, !,  
    get_best([Path1/Cost1/Est1|Paths], BestPath).
```

```
get_best(_|Paths, BestPath) :-  
    get_best(Paths, BestPath).
```

Remark: Implementing a different best-first search algorithm only involves changing `get_best/2`; the rest can stay the same.

## A\* in Prolog: Main Algorithm

Stop in case the best path ends in a goal node:

```
astar(Paths, Path) :-  
    get_best(Paths, Path),  
    Path = [Node|_]/_/_,  
    goal(Node).
```

Otherwise, extract the best path, generate all its expansions, and continue with the union of the remaining and the expanded paths:

```
astar(Paths, SolutionPath) :-  
    get_best(Paths, BestPath),  
    select(BestPath, Paths, OtherPaths),  
    expand_astar(BestPath, ExpPaths),  
    append(OtherPaths, ExpPaths, NewPaths),  
    astar(NewPaths, SolutionPath).
```

## Example

The following data corresponds to the example on page 263 in the textbook (Figure 12.2):

```
move(s, a, 2).      estimate(a, 5).
move(a, b, 2).      estimate(b, 4).
move(b, c, 2).      estimate(c, 4).
move(c, d, 3).      estimate(d, 3).
move(d, t, 3).      estimate(e, 7).
move(s, e, 2).      estimate(f, 4).
move(e, f, 5).      estimate(g, 2).
move(f, g, 2).
move(g, t, 2).      estimate(s, 1000).
goal(t).            estimate(t, 0).
```

## Example (cont.)

If we run  $A^*$  on this problem specification, we first obtain the optimal solution path and then one more alternative path:

```
?- solve_astar(s, Path).  
Path = [s, e, f, g, t]/11 ;  
Path = [s, a, b, c, d, t]/12 ;  
No
```

## Debugging

We can use debugging to reconstruct the working of A\* for this example (trace edited for readability):

```
?- spy(expand_astar).
```

```
Yes
```

```
[debug] ?- solve_astar(s, Path).
```

```
Call: (10) expand_astar([s]/0/1000, _L233) ? leap
```

```
Call: (11) expand_astar([a, s]/2/5, _L266) ? leap
```

```
Call: (12) expand_astar([b, a, s]/4/4, _L299) ? leap
```

```
Call: (13) expand_astar([e, s]/2/7, _L353) ? leap
```

```
Call: (14) expand_astar([c, b, a, s]/6/4, _L386) ? leap
```

```
Call: (15) expand_astar([f, e, s]/7/4, _L419) ? leap
```

```
Call: (16) expand_astar([g, f, e, s]/9/2, _L452) ? leap
```

```
Path = [s, e, f, g, t]/11
```

```
Yes
```

## Excursus: Using Basic Search Algorithms

To test our basic (uninformed) search algorithms with this data, we can introduce the following rule to map problem descriptions involving a cost function to simple problem descriptions:

```
move(Node, NextNode) :- move(Node, NextNode, _).
```

We can now use, say, depth-first search as well:

```
?- solve_depthfirst(s, Path).  
Path = [s, a, b, c, d, t] ;    [Cost = 12]  
Path = [s, e, f, g, t] ;    [Cost = 11]  
No
```

That is, now we (obviously) have no guarantee that the best solution would be found first.

## Properties of A\*

A heuristic function  $h$  is called *admissible* iff  $h(n)$  is never more than the actual cost of the best path from  $n$  to a goal node.

An important theoretical result is the following:

*A\* with an admissible heuristic function guarantees optimality, i.e. the first solution found has minimal cost.*

Proof: Let  $n$  be a node on an optimal solution path and let  $n'$  be a non-optimal goal node. We need to show that A\* will always pick  $n$  over  $n'$ . Let  $c^*$  be the cost of the optimal solution. We get

(1)  $f(n') = g(n') + h(n') = g(n') + 0 > c^*$  and, due to admissibility of  $h$ , (2)  $f(n) = g(n) + h(n) \leq c^*$ . Hence,  $f(n) < f(n')$ , q.e.d.

Also note that A\* with *any* heuristic function guarantees *completeness*, i.e. if a solution exists it will be found eventually.



## Admissible Heuristic Functions

How do we choose a “good” admissible heuristic function?

Two general examples:

- The *trivial heuristic function*  $h_0(n) = 0$  is admissible.

It guarantees optimality, but it is of no help whatsoever in focussing the search; so using  $h_0$  is not *efficient*.

- The *perfect heuristic function*  $h^*$ , mapping  $n$  to the *actual* cost of the optimal path from  $n$  to a goal node, is also admissible.

This function would lead us straight to the best solution (but, of course, *we don't know* what  $h^*$  is!).

Finding a good heuristic function is a serious research problem ...

## Recall the Route Planning Problem



## Examples for Admissible Heuristics

For the *route planning* domain, we could think of the following heuristic functions:

- Let  $h_1(n)$  be the straight-line distance to the goal location.

This is an admissible heuristic, because no solution path will ever be shorter than the straight-line connection.

- Let  $h_2(n)$  be  $h_1(n) + 20\%$ .

An intuitive justification would be that there are no completely straight streets anyway, so this would be a better estimate than  $h_1(n)$ . Indeed,  $h_2$  may often work better than  $h_1$ , but it is not generally admissible (because there may be two locations connected by an almost straight street). So  $h_2$  does *not* guarantee optimality.

## Recall the Eight-Puzzle

7	2	4
5		6
8	3	1

	1	2
3	4	5
6	7	8

Source: Russell & Norvig, *Artificial Intelligence*

## Examples for Admissible Heuristics (cont.)

For the *eight-puzzle*, the following heuristic functions come to mind:

- Let  $h_3(n)$  be the number of misplaced tiles (so  $h_3(n)$  will be a number between 0 and 8).

This is clearly a lower bound for the number of moves to the goal state; so it is also an admissible heuristic.

- Assume we could freely move tiles along the vertical and horizontal, without regard for the other tiles. Let  $h_4(n)$  be the number we get when we count the 1-step moves required to get to the goal configuration under this assumption.

This is also an admissible heuristic, because in reality we will always need at least  $h_4(n)$  moves (and typically more, because other tiles will be in the way). Furthermore,  $h_4$  is *better* than  $h_3$ , because we have  $h_3(n) \leq h_4(n)$  for all nodes  $n$ .

## Complexity Analysis of A\*

Both *worst-case* time and space complexity are *exponential* in the depth of the search tree (like breadth-first search): in the worst case, we still have to visit *all* the nodes on the tree and ultimately keep the full tree in memory.

The reason why A\* usually works much better than basic breadth-first search anyway, is that the heuristic function will *typically* allow us to get to the solution much faster.

Remark: In practice, our implementation of the basic A\* algorithm may not perform that well, because of the high memory requirements. In the textbook there is a discussion of alternative algorithms that consume less space (at the expense of taking more time, which is typically quite acceptable).

## Summary: Best-first Search with A\*

- Heuristics can be used to guide a search algorithm in a large search space. The central idea of *best-first search* is to expand the path that seems most promising.
- There are different ways of defining a *heuristic function*  $h$  to estimate how far off the goal a given node is; and there are different ways of using  $h$  to decide which node is “best”.
- In the A\* algorithm, the node  $n$  minimising the sum of the cost  $g(n)$  to reach the current node  $n$  and the estimate  $h(n)$  of the cost to reach a goal node from  $n$  is chosen for expansion.
- A heuristic function  $h$  is called *admissible* iff it never over-estimates the true cost of reaching a goal node.
- If  $h$  is an admissible heuristic function, then A\* guarantees that an *optimal* solution will be found (first).

## Conclusion: Search Techniques for AI

- Distinguish *uninformed* and *heuristic-guided* search techniques:
  - The former are very general concepts and applicable to pretty much any computing problem you can think of (though maybe not in exactly the form presented here).
  - The latter is a true AI theme: defining a really good heuristic function arguably endows your system with a degree of “intelligence”. Good heuristics can lead to very powerful search algorithms.
- You should have learned that there is a general “pattern” to both the *implementation* of these algorithms and to the (state-space) *representation* of problems to do with search.
- You should have learned that analysing the *complexity* of algorithms is important; and you should have gained some insight into how that works.