

# A review and analysis of the existing literature on grayscale photography colorization using CNNs

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**WeADL 2021 Workshop**

The workshop is organized under the umbrella of WeaMyL, project funded by the EEA and Norway Grants under the number RO-NO-2019-0133. Contract: No 26/2020.



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# Outline

- ① Problem statement, introduction, and motivation
- ② Research Questions
- ③ Colorization Patterns
- ④ Colorization Models
- ⑤ Results Analysis
- ⑥ Conclusions and Future Work

# What is colorization?

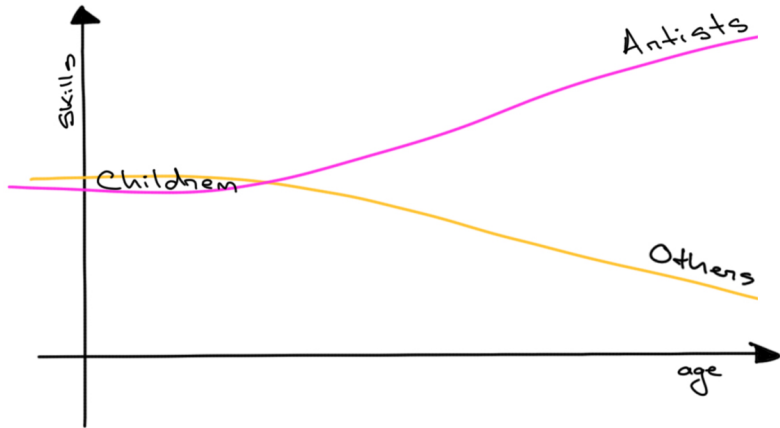


Figure: Colorization learning curve as seen from a human perspective.

# Problem Statement

*Photography colorization*, in our context, is the task of artificially reconstructing color information in a picture that has never been captured on a storage medium capable of recording color.



# Introduction



Figure: The Paper Time Machine, by Wolfgang Wild and Jordan J. Lloyd

# Introduction

- deep learning algorithms are predicting the chromaticity through either a discriminative, or generative learning
- artists, such as those from Dynamichrome [3], are closing the gap through the manually constructed layers which often come from intuition
- fooling the human perception of truth is the main goal of any method, as monochromatic areas of a picture may have multiple plausible colorization

# Introduction

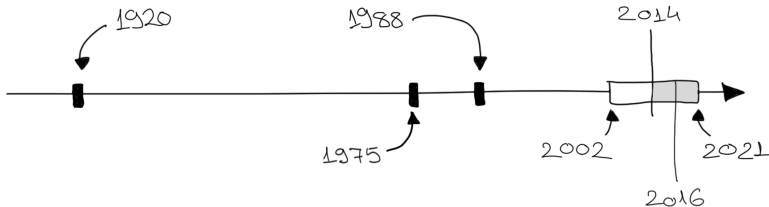


**Figure:** Visual decomposition of the RGB and LAB layers.

## Why would someone invest in colorization?

- **Medicine:** improved user interfaces for diagnostic purposes
- **Communications:** improvements in compression algorithms, decreasing the waiting time
- **Games:** rendering photo-realistic scenes
- **Arts:** restoring old Hollywood movies, comics, and legacy photography
- **Computational Intelligence:** proxy for other learning tasks

# Motivation



**Figure:** The role of timing in seizing research opportunities, starting with Wilson Markle and Brian Hunt, and ending up with research initiatives published a couple of months ago.

# Research Questions

- What patterns and models are usually followed?
- What are the implications of Convolutional Neural Network?
- How well would these methods perform in professional applications?

# Colorization Patterns

## Data-Driven Colorization

- early iterations heavily relied on human interventions
- leveraging large-scale datasets and GPU performance, fully-automatic colorization became achievable

## Human-in-the-Loop Colorization

With data-driven approaches, user preferences were not taken into consideration, hence the need for additional solutions:

- based on textual descriptions
- based on color hints
- based on reference color images

# Based on Textual Descriptions

- notes were often placed on the back of legacy photography
- **social media platforms** are improving their indexing systems
  - words and sentences associated with the visual content
- building on the idea that particular colors are associated with **complex semantic concepts**
  - language specific colors: English has eleven basic color categories, Russian twelve
  - a language may have only three basic color categories
- imagine that a *cold evening* varies in nuances of blue, while the *golden hour* covers everything in warm colors



# Based on Textual Descriptions

- models that **join textual** and **visual** feature maps, with expensive computational costs due to the number of parameters
- balancing image segmentation - Hu et al. [4], and fusion modules - Chen et al. [2]
- for parameters efficiency we may apply feature-wise linear modulation - Perez et al. 2018

# Based on Color Hints



Figure: Capture from the application proposed in Zhang et al. [9].

# Based on Color Hints

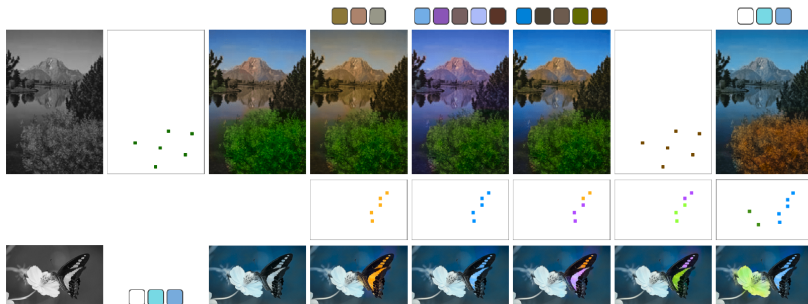
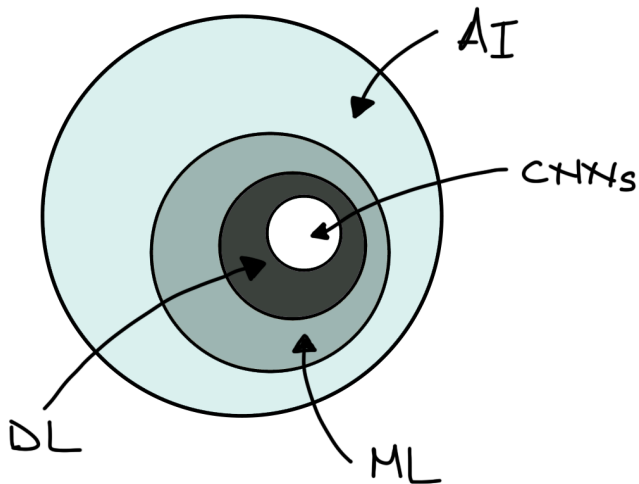


Figure: Capture from the model proposed in Xiao et al. [7].

# Based on Reference Color Images

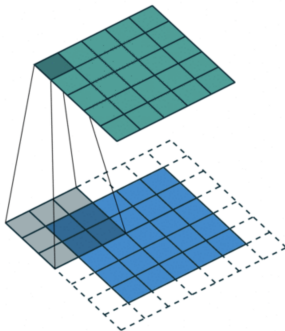
- **transferring** the **chromaticity** information from a semantically related color image to a target grayscale image
- allows for a multi-modal colorization
- the user may provide an image, or the system may retrieve the appropriate one
- imagine passing colors from a cherry blossom to a black and white Californian coast image, obtaining synthetic, but artistic pink waves

# Deep Learning Models



# CNN-based Models

- the network's most important aspect are the convolutional layers, made up of convolutional kernels (filters)
- when convolved with the input image, these filters are generating the feature maps



# CNN-based Models

- these features are collected from various components and compressed, then later up-scaled to the original image size
- the image ratio must be preserved (using padding), and distortions must be prevented (using stride instead of pooling)

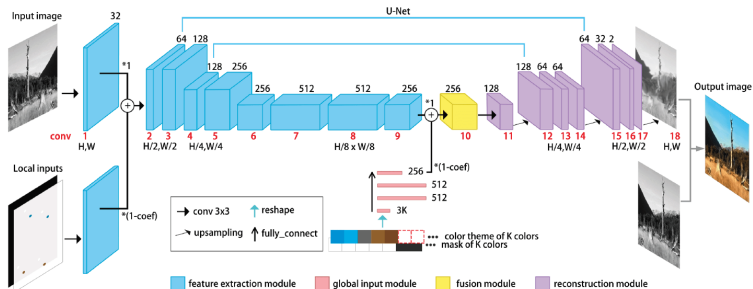


Figure: Network architecture from Xiao et al. [7].

# CNN-based Models

- low, middle, and global features extraction
- predictions are not always deterministic, but often probabilistic
- discriminative models: VGG variants and U-Net based architectures
- generative model: Pixel Convolutional Neural Network
- end-to-end learning is often used
  - alleviate the bias encapsulated with various decisions
  - reduce artifacts
  - no need for hand-designed components



We often noticed the following objective function strategies being applied to the networks:

- **Huber Loss, L2, Kullback–Leibler divergence, Perception Loss, cross-entropy, Color Embedding, Color Generation, and Semantic Loss**

## Open problems

- conservative guess (everything can be brown)
- lack of color normalization
- color bleeding
- small objects are ignored

# Results Analysis

- since early 80's, the number of solutions proposed in literature remained small (aprox. 85 papers)
- the human eye may be fooled by only a dozen of these algorithms
- we wondered if we can reproduce the results on a manually curated dataset

# Results Analysis

Paper	Colorization Metrics						Recommended types of images
	$\downarrow$ LPIPS	$\sigma$	$\uparrow$ PSNR	$\sigma$	$\uparrow$ SSIM	$\sigma$	
Antic et al. [1]	0.18389	0.08614	13.36557	3.55204	0.73828	0.12560	all
Iizuka et al. [5]	0.18068	0.06863	15.80264	3.94617	0.77813	0.12155	events, portraits, landscapes
Zhang et al. [8]	0.22174	0.08790	13.60779	4.01649	0.77388	0.11998	landscapes
Kumar et al. [6]	0.30766	0.07357	11.22693	3.14602	0.53996	0.15731	close-up portraits, landscapes

**Table:** Performance evaluation made on **urban landscapes** and **events, objects, and portraits**.

# Results Analysis



Figure: A visual validation of the results obtained with Antic et al. [1].

- **Most used metrics:** Peak Signal-to-Noise Ratio, Structural Similarity Index Measure, Learned Perceptual Image Patch Similarity
- **Alternative metrics:** Patch-based Contrast Quality Index and the Underwater Image Quality Measure
- **Turing Test** - having a person assessing the colorization results is the golden standard at the moment

- **LPIPS** uses deep network activations as a perceptual similarity metric, which works surprisingly well, and comes closer to the human preference in ranking
- in general, metrics account for the mean **luminosity**, change in **contrast**, **structural distortion**, **sharpness**, and **colorfulness**

# Colorization Software Reliability

- only a few colorization algorithms are available online
- the setup and hardware requirements are a challenge
- GitHub repositories are not often well maintained

How well would these methods perform in professional applications?

- integrated into products targeting the general public
- Zhang et al. [9] was included in Photoshop Elements 2020

# Conclusions and Future Work

Our work sets the grounds for further colorization initiatives.

## Future Work

- extend the experimental evaluation
- contribute on making these models more accessible to the general public
- improve on the existing CNN-based approaches



Thank you!  
Questions?



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